Feb. 15. 2017

Certificate of UN test for Lithium ion battery

Customer Model : 42T4934

Global Code : F12272082

Product Name : 4UPF454261-2-LNV

Al- Munager
H. Kuroda General Manager
Technology Planning Department
Rechargeable Battery Business Division
SANYO Electric Co., Ltd.

We declare that this battery passed UN test.

| Manua (38-3 | Manual of Tests and Criteria (38.3 Lithium batteries) | Test | Note | Number of tes | Number of test batteries/cells |
|----------------|--|---------|------|--|--|
| No. | Test item | results | | | |
| Τ 1 | T 1 Altitude simulation | Pass | | | |
| Т2 | T 2 Thermal test | Pass | | First cycle | After 50 cycles |
| Т3 | T3 Vibration | Pass | | fully charged | fully charged |
| Т 4 | T 4 Shock | Pass | | 4 batteries | 4 batteries |
| Т 5 | External short circuit | Pass | | | |
| 9 _ | Impact | Pass | | First cycle 50% charged 5 cells | After 50 cycles fully discharged 5 cells |
| Т 7 | T 7 Overcharge | Pass | | First cycle, Fully charged 4 batteries | After 50 cycles, Fully charged 4 batteries |
| 4 ∠ | T 8 Forced discharge | Pass | | First cycle, fully discharged 10 cells | After 50 cycles, fully discharged 10 cells |
| | | | i | | |

*The test data may contain additional test result other than above table.

Lithium ion battery Specification

| Item | Nominal value | Note |
|---|---------------------------------------|------|
| Watt-hour rating | 43 Wh | |
| Nominal voltage | 14.8 V | |
| Lithium equivalent content | 3.42 g | |
| 1 + 40 amoo one oon booon + oo + one of | + + + + + + + + + + + + + + + + + + + | |

Above test procedures are compliant to the following manual.

Tests and Criteria ST/AC.10/11, PartIII, sub-section 38.3, Rev. 4 for cell, Rev. 5A1 for battery) (Manual of

1.Test Item: Altitude simulation (T1)

2. Test Purpose: This test simulates air transport under low-pressure conditions.

3.Test Procedure:

Test cells and batteries shall be stored at a pressure of 11.6kPa or less for at least six hours at ambient temperature ($20\pm5^{\circ}$ C).

SANYO Internal Procedure:

As above.

4.Test Requirements:

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

The requirement relating to voltage is not applicable to test batteries at fully discharged states.

5.Test Date: 2010/11/26

6.Test Data

| Dottow N | | Mas | s(g) | Mass | Volta | ge(V) | Voltage | Other | Degul | To decome 4 |
|----------------------------|----|-------------|------------|----------------------|-------------|------------|----------------------|-------|--------|-------------|
| Battery No | 0. | Before test | After test | loss (%) (=<0.1%) | Before test | After test | Retention(%)(=>90%) | event | Result | Judgement |
| A 4 C4 | 1 | 252. 40 | 252.44 | 0. 02 | 16.56 | 16. 55 | 99. 9 | 0 | PASS | |
| At first cycle,in fully | 2 | 252. 23 | 252. 27 | 0. 02 | 16.55 | 16. 55 | 100.0 | 0 | PASS | |
| charged states | 3 | 249. 43 | 249. 45 | 0. 01 | 16.53 | 16. 53 | 100.0 | 0 | PASS | |
| States | 4 | 252. 10 | 251.93 | 0. 07 | 16.55 | 16. 54 | 99. 9 | 0 | PASS | |
| A. C. | 5 | 249. 72 | 249. 77 | 0. 02 | | | | 0 | PASS | |
| At first cycle,in fully | 6 | 252. 04 | 252.09 | 0. 02 | | | | 0 | PASS | |
| discharged states | 7 | 249. 17 | 249. 21 | 0. 02 | | | | 0 | PASS | |
| | 8 | 250. 02 | 250.05 | 0. 01 | | | | 0 | PASS | PASS |
| After 50 | 9 | 252. 41 | 252. 43 | 0. 01 | 16.56 | 16. 56 | 100.0 | 0 | PASS | rass |
| cycles | 10 | 251.37 | 251.39 | 0. 01 | 16.56 | 16. 56 | 100.0 | 0 | PASS | |
| ending in fully charged | 11 | 248. 98 | 248.96 | 0. 01 | 16.55 | 16. 55 | 100.0 | 0 | PASS | |
| states | 12 | 248. 37 | 248.40 | 0. 01 | 16.55 | 16. 54 | 99. 9 | 0 | PASS | |
| After 50 | 13 | 247. 13 | 247. 15 | 0. 01 | | | | 0 | PASS | |
| cycles ending in | 14 | 253. 14 | 253. 11 | 0. 01 | | | | 0 | PASS | |
| fully discharged | 15 | 250. 84 | 250.88 | 0. 02 | | | | 0 | PASS | |
| states | 16 | 252. 91 | 252.93 | 0. 01 | | | | 0 | PASS | |

Notes: L-Leakage, V-Venting, D-Disassembly, R-Rupture, F-Fire,

0-No leakage, no venting, no disassembly, no rupture & no fire

1.Test Item: Thermal Test (T2)

2.Test Purpose: This test assesses cell and battery seal integrity and internal electrical connections. The test is conducted using rapid and extreme temperature changes.

3.Test Procedure:

Test cells and batteries are to be stored for at least six hours at a test temperature equal to $75\pm2^{\circ}$ C, followed by storage for at least six hours at a test temperature equal to $-40\pm2^{\circ}$ C. The maximum time internal between test temperature extremes is 30 minutes. This procedure is to be repeated 10 times, after which all test cells and batteries are to be stored for 24 hours at ambient temperature ($20\pm5^{\circ}$ C). For large cells and batteries the duration of exposure to the test temperature extremes should be at least 12 hours.

SANYO Internal Procedure:

As above.

4.Test Requirements:

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

The requirement relating to voltage is not applicable to test batteries at fully discharged states.

5.Test Date: 2010/11/26-2010/12/2

6.Test Data

| Dottowy M | | Mas | s(g) | Mass loss (%) | Volta | ge(V) | Voltage Retention(| Other | Result | Indecement |
|-------------------------|----|-------------|------------|------------------|-------------|------------|-----------------------|-------|--------|------------|
| Battery N | 0. | Before test | After test | (=<0.1%) | Before test | After test | %)(=>90%) | event | Kesuit | Judgement |
| A. C. | 1 | 252. 44 | 252. 47 | 0. 01 | 16. 55 | 16. 48 | 99. 6 | 0 | PASS | |
| At first cycle,in fully | 2 | 252. 27 | 252. 31 | 0. 02 | 16. 55 | 16. 49 | 99. 6 | 0 | PASS | |
| charged states | 3 | 249. 45 | 249. 46 | 0.00 | 16. 53 | 16. 49 | 99.8 | 0 | PASS | |
| States | 4 | 251. 93 | 251. 99 | 0. 02 | 16. 54 | 16. 48 | 99. 6 | 0 | PASS | |
| A . C . | 5 | 249. 77 | 249. 72 | 0. 02 | | | | 0 | PASS | |
| At first cycle,in fully | 6 | 252. 09 | 252. 12 | 0. 01 | | | | 0 | PASS | |
| discharged 7 | 7 | 249. 21 | 249. 26 | 0. 02 | | | | 0 | PASS | |
| | 8 | 250. 05 | 250.03 | 0. 01 | | | | 0 | PASS | PASS |
| After 50 | 9 | 252. 43 | 252. 46 | 0. 01 | 16. 56 | 16. 49 | 99. 6 | 0 | PASS | r ASS |
| cycles ending in | 10 | 251. 39 | 251. 41 | 0. 01 | 16. 56 | 16. 48 | 99. 5 | 0 | PASS | |
| fully charged | 11 | 248. 96 | 248. 99 | 0. 01 | 16. 55 | 16. 47 | 99. 5 | 0 | PASS | |
| states | 12 | 248. 40 | 248. 42 | 0. 01 | 16. 54 | 16. 48 | 99. 6 | 0 | PASS | |
| After 50 | 13 | 247. 15 | 247. 17 | 0. 01 | | | | 0 | PASS | |
| cycles ending in | 14 | 253. 11 | 253. 16 | 0. 02 | | | | 0 | PASS | |
| fully discharged | 15 | 250. 88 | 250.89 | 0.00 | | | | 0 | PASS | |
| states | 16 | 252. 93 | 252. 96 | 0. 01 | | | | 0 | PASS | |

Notes: L-Leakage, V-Venting, D-Disassembly, R-Rupture, F-Fire,

0-No leakage, no venting, no disassembly, no rupture & no fire

1.Test Item: Vibration (T3)

2.Test Purpose: This test simulates vibration during transport.

3.Test Procedure:

Cells and batteries are firmly secured to the platform of the vibration machine without distorting the cells in such a manner as to faithfully transmit the vibration. The vibration shall be a sinusoidal waveform with a logarithmic sweep between 7 Hz and 200 Hz and back to 7 Hz traversed in 15 minutes. This cycle shall be repeated 12 times for a total of 3 hours for each of three mutually perpendicular mounting positions of the cell. One of the directions of vibration must be perpendicular to the terminal face.

The logarithmic frequency sweep is as follows: from 7 Hz a peak acceleration of 1gn is maintained until 18 Hz is reached. The amplitude is then maintained at 0.8 mm(1.6 mm total excursion) and thefrequency increased until a peak acceleration of 8gn occurs (approximately 50Hz). A peak acceleration of 8 gn is then maintained until the frequency is increased to 200Hz.

SANYO Internal Procedure:

As above.

4.Test Requirements:

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

The requirement relating to voltage is not applicable to test batteries at fully discharged states.

5.Test Date: 2010/12/3-2010/12/6

6.Test Data

| Dattow, N | Ī. | Mas | s(g) | Mass loss (%) | Volta | ge(V) | Voltage Retention(| Other | Result | Indecement |
|---------------------|-----|-------------|------------|------------------|-------------|------------|-----------------------|-------|--------|------------|
| Battery N | 10. | Before test | After test | (=<0.1%) | Before test | After test | %)(=>90%) | event | Kesun | Judgement |
| At first | 1 | 252. 47 | 252. 46 | 0.00 | 16. 48 | 16. 45 | 99.8 | 0 | PASS | |
| cycle,in | 2 | 252. 31 | 252. 33 | 0. 01 | 16. 49 | 16. 46 | 99.8 | 0 | PASS | |
| fully charged | 3 | 249. 46 | 249. 48 | 0. 01 | 16. 49 | 16. 44 | 99. 7 | 0 | PASS | |
| states | 4 | 251.99 | 251. 98 | 0.00 | 16. 48 | 16. 46 | 99. 9 | 0 | PASS | |
| At first | 5 | 249. 72 | 249. 76 | 0. 02 | | | | 0 | PASS | |
| cycle,in | 6 | 252. 12 | 252. 13 | 0.00 | | | | 0 | PASS | |
| fully discharged | 7 | 249. 26 | 249. 28 | 0. 01 | | | | 0 | PASS | |
| states | 8 | 250. 03 | 250. 06 | 0. 01 | | | | 0 | PASS | PASS |
| After 50 | 9 | 252. 46 | 252. 44 | 0. 01 | 16. 49 | 16. 45 | 99.8 | 0 | PASS | FASS |
| cycles ending in | 10 | 251.41 | 251. 42 | 0.00 | 16. 48 | 16. 44 | 99.8 | 0 | PASS | |
| fully charged | 11 | 248. 99 | 248. 96 | 0. 01 | 16. 47 | 16. 45 | 99. 9 | 0 | PASS | |
| states | 12 | 248. 42 | 248. 48 | 0. 02 | 16. 48 | 16. 47 | 99. 9 | 0 | PASS | |
| After 50 | 13 | 247. 17 | 247. 19 | 0. 01 | | | | 0 | PASS | |
| cycles ending in | 14 | 253. 16 | 253. 17 | 0.00 | | | | 0 | PASS | |
| fully discharged | 15 | 250. 89 | 250. 88 | 0.00 | | | | 0 | PASS | |
| states | 16 | 252. 96 | 252. 99 | 0. 01 | | | | 0 | PASS | |

Notes: L-Leakage, V-Venting, D-Disassembly, R-Rupture, F-Fire, 0-No leakage, no venting, no disassembly, no rupture & no fire

1.Test Item: Shock (T4)

2.Test Purpose: This test simulates possible impacts during transport.

3.Test Procedure:

Test cells and batteries shall be secured to the testing machine by means of a rigid mount which will support all mounting surfaces of each test battery. Each cell or battery shall be subjected to a half-sine shock of pack acceleration of $150~g_n$ and pulse duration of 6 milliseconds. Each cell or battery shall be subjected to three shocks in the positive direction followed by three shocks in the negative direction of three mutually perpendicular mounting positions of the cell or battery for a total of 18~shocks.

However, large cells and large batteries shall be subjected to a half-sine shock of peak acceleration of $50~g_{\,\mathrm{n}}$ and pulse duration of 11 milliseconds. Each cell or battery is subjected to three shocks in the positive direction followed by three shocks in the negative direction of each of three mutually perpendicular mounting positions of the cell for a total of 18 shocks.

SANYO Internal Procedure:

As above.

4.Test Requirements:

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

The requirement relating to voltage is not applicable to test batteries at fully discharged states.

5.Test Date: 2010/12/6

6.Test Data

| Dottowy N | ام | Mas | s(g) | Mass | Volta | ge(V) | Voltage | Other | Dogult | Indecoment |
|---------------------|-----|-------------|------------|----------------------|-------------|------------|----------------------|-------|--------|------------|
| Battery N | 10. | Before test | After test | loss (%) (=<0.1%) | Before test | After test | Retention(%)(=>90%) | event | Result | Judgement |
| At first | 1 | 252. 460 | 252. 480 | 0. 01 | 16. 45 | 16. 45 | 100.0 | 0 | PASS | |
| cycle,in | 2 | 252. 330 | 252. 370 | 0. 02 | 16. 46 | 16. 46 | 100.0 | 0 | PASS | |
| fully charged | 3 | 249. 480 | 249. 440 | 0. 02 | 16. 44 | 16. 44 | 100.0 | 0 | PASS | |
| states | 4 | 251. 980 | 251. 960 | 0. 01 | 16. 46 | 16. 46 | 100.0 | 0 | PASS | |
| At first | 5 | 249. 760 | 249. 790 | 0. 01 | | | | 0 | PASS | |
| cycle,in | 6 | 252. 130 | 252. 150 | 0. 01 | | | | 0 | PASS | |
| fully discharged | 7 | 249. 280 | 249. 310 | 0. 01 | | | | 0 | PASS | |
| states | 8 | 250. 060 | 250. 050 | 0. 00 | | | | 0 | PASS | PASS |
| After 50 | 9 | 252. 440 | 252. 490 | 0. 02 | 16. 45 | 16. 45 | 100.0 | 0 | PASS | PASS |
| cycles ending in | 10 | 251. 420 | 251. 460 | 0. 02 | 16. 44 | 16. 44 | 100.0 | 0 | PASS | |
| fully charged | 11 | 248. 960 | 248. 980 | 0. 01 | 16. 45 | 16. 44 | 99. 9 | 0 | PASS | |
| states | 12 | 248. 480 | 248. 450 | 0. 01 | 16. 47 | 16.46 | 99. 9 | 0 | PASS | |
| After 50 | 13 | 247. 190 | 247. 220 | 0. 01 | | | | 0 | PASS | |
| cycles ending in | 14 | 253. 170 | 253. 180 | 0. 00 | | | | 0 | PASS | |
| fully discharged | 15 | 250. 880 | 250. 900 | 0. 01 | | | | 0 | PASS | |
| states | 16 | 252. 990 | 252. 940 | 0. 02 | | | | 0 | PASS | |

Notes: L-Leakage, V-Venting, D-Disassembly, R-Rupture, F-Fire, 0-No leakage, no venting, no disassembly, no rupture & no fire

1.Test Item: External short circuit (T5)

2.Test Purpose: This test simulates an external short circuit.

3.Test Procedure:

The cell or battery to be tested shall be temperature stabilized so that its external case temperature reaches $55\pm2^{\circ}\text{C}$ and then the cell or battery shall be subjected to a short circuit condition with a total external resistance of less than 0.10hm at $55\pm2^{\circ}\text{C}$. This short circuit condition is continued for at least one hour after the cell or battery external case temperature has returned to $55\pm2^{\circ}\text{C}$. The cell or battery must be observed for a further six hours for the test to be concluded.

SANYO Internal Procedure:

As above.

4.Test Requirements:

External temperature of test batteries does not exceed 170°C and there is no disassembly,no rupture and no fire within six hours of this test.

5.Test Date: 2010/12/6-12/7

6.Test Data

| Bat | tery No. | Maximum temperature (°C) | Other event | Result | Judgement |
|--|----------|--------------------------|----------------|--------|-----------|
| | 1 | 54.6 | 0 | PASS | |
| At first cycle,in fully | 2 | 54.7 | 0 | PASS | |
| charged states | 3 | 54.7 | 0 | PASS | |
| States | 4 | 54.7 | 0 | PASS | |
| | 5 | 54.6 | 0 | PASS | |
| At first cycle,in fully discharged states | 6 | 54.5 | 0 | PASS | |
| | 7 | 54.7 | 0 | PASS | |
| | 8 | 55.0 | 0 | PASS | DACC |
| After 50 | 9 | 55.1 | 0 | PASS | PASS |
| cycles | 10 | 55.1 | 0 | PASS | |
| ending in fully charged | 11 | 54.3 | 0 | PASS | |
| states | 12 | 54.5 | 0 | PASS | |
| After 50 | 13 | 55.0 | 0 | PASS | |
| cycles ending in | 14 | 55.2 | 0 | PASS | |
| fully discharged | 15 | 54.8 | 0 | PASS | |
| states | 16 | 54.5 | 0 | PASS | |

Notes: D-Disassembly, R-Rupture, F-Fire, 0-No disassembly, no rupture & no fire

1.Test Item:Impact (T6)

2.Test Purpose: This test simulates an impact.

3.Test Procedure:

The test sample cell or component cell is to be placed on a flat surface. A 15.8mm diameter bar is to be placed across the centre of the sample. A 9.1kg mass is to be dropped from a height of 61 ± 2.5 cm onto the sample.

A cylindrical or prismatic cell is to be impacted with its longitudinal axis parallel to the flat surface and perpendicular to the longitudinal axis of the 15.8mm diameter curved surface lying across the centre of the test sample. A prismatic cell is also to be rotated 90 degrees around its longitudinal axis so that both the wide and narrow sides will be subjected to the impact. Each sample is to be subjected to only a single impact. Separate samples are to be used for each impact.

A coin or button cell is to be impacted with the flat surface of the sample parallel to the flat surface and the 15.8mm diameter curved surface lying across its centre.

SANYO Internal Procedure:

As above.

4.Test Requirements:

External temperature of test batteries does not exceed 170° C and there is no disassembly and no fire within six hours of this test.

5.Test Date: 2007/08/08

6.Test Data

| Cell No | | Maximum Temperature(°C) | Other event | Result | Judgement |
|----------------------|----|-------------------------|-------------|--------|-----------|
| | 1 | 45.0 | 0 | PASS | |
| | 2 | 88.3 | 0 | PASS | |
| | 3 | 38.2 | 0 | PASS | |
| At first | 4 | 89.0 | 0 | PASS | |
| cycle, 50% | 5 | 35.6 | 0 | PASS | |
| charged | 6 | 86.2 | 0 | PASS | |
| states | 7 | 85.4 | 0 | PASS | |
| | 8 | 58.5 | 0 | PASS | PASS |
| | 9 | 88.6 | 0 | PASS | |
| | 10 | 81.9 | 0 | PASS | |
| | 11 | 33.1 | 0 | PASS | |
| | 12 | 34.3 | 0 | PASS | |
| | 13 | 36.4 | 0 | PASS | |
| After 50 cycles | 14 | 44.3 | 0 | PASS | |
| ending, in | 15 | 40.4 | 0 | PASS | |
| fully | 16 | 40.8 | 0 | PASS | |
| discharged states | 17 | 43.0 | 0 | PASS | |
| | 18 | 39.8 | 0 | PASS | |
| | 19 | 44.2 | 0 | PASS | |
| | 20 | 42.9 | 0 | PASS | |

Notes: D-Disassembly, F-Fire, 0-No disassembly & no fire

1.Test Item:Overcharged (T7)

2.Test Purpose: This test evaluates the ability of a rechargeable battery to withstand an overcharge condition.

3.Test Procedure:

The charge current shall be twice the manufacturer's recommended maximum continuous charge current. The minimum voltage of the test shall be as follows:

- (a) when the manufacturer's recommended charge voltage is not more than 18V, the minimum voltage of the test shall be the lesser of two times the maximum charge voltage of the battery or 22V.
- (b) when the manufacturer's recommended charge voltage is more than 18V, the minimum voltage of the test shall be 1.2 times the maximum charge voltage.

Tests are to be conducted at ambient temperature. The duration of the test shall be 24 hours.

SANYO Internal Procedure:

| Min.Charge Voltage: | 22 V |
|---------------------|--------|
| Charge Current: | 4.06 A |

4.Test Requirements:

There is no disassembly and no fire within seven days of the test.

5.Test Date: 2010/11/26-2010/12/3

6.Test Data

| Battery | No. | Event | Result | Judgement |
|-------------------------|-----|-------|--------|-----------|
| At first avala | 1 | 0 | PASS | |
| At first cycle in fully | 2 | 0 | PASS | |
| charged states | 3 | 0 | PASS | |
| States | 4 | 0 | PASS | PASS |
| After 50 | 5 | 0 | PASS | 1 A33 |
| cycles ending in fully | 6 | 0 | PASS | |
| charged | 7 | 0 | PASS | |
| states | 8 | 0 | PASS | |

Notes: D-Disassembly, F-Fire, 0-No disassembly & no fire

1.Test Item:Forced discharge (T8)

2.Test Purpose: This test evaluates the ability of a primary or a rechargeable cell to withstand a forced discharged condition.

3.Test Procedure:

Each cell shall be forced discharged at ambient temperature by connecting it in series with a 12V D.C. power supply at an initial current equal to the maximum discharge current specified by the manufacturer. The specified discharge current is to be obtained by connecting a resistive load of the appropriate size and rating in series with the test cell. Each cell shall be forced discharged for a time interval (in hours) equal to its rated capacity divided by the initial test current (in Ampere).

SANYO Internal Procedure:

As above.

4.Test Requirements:

There is no disassembly and no fire within seven days of the test.

5.Test Date: 2007/08/09

6.Test Data:

| Cell No | | Event | Result | Judgement |
|----------------------|----|-------|--------|-----------|
| | 1 | 0 | PASS | |
| | 2 | 0 | PASS | |
| | 3 | 0 | PASS | |
| At first | 4 | 0 | PASS | |
| cycle, in fully | 5 | 0 | PASS | |
| discharged | 6 | 0 | PASS | |
| states | 7 | 0 | PASS | |
| | 8 | 0 | PASS | PASS |
| | 9 | 0 | PASS | |
| | 10 | 0 | PASS | |
| | 11 | 0 | PASS | |
| | 12 | 0 | PASS | |
| | 13 | 0 | PASS | |
| After 50 cycles | 14 | 0 | PASS | |
| ending, in | 15 | 0 | PASS | |
| fully | 16 | 0 | PASS | |
| discharged states | 17 | 0 | PASS | |
| | 18 | 0 | PASS | |
| | 19 | 0 | PASS | |
| | 20 | 0 | PASS | |

Notes: D-Disassembly, R-Rupture, F-Fire, 0-No disassembly, no rupture & no fire