Feb. 16. 2017

Certificate of UN test for Lithium ion battery

Customer Model : 45N1714

Global Code : BJ-W210001AA

Product Name : 1UPF3657104-2-T1059

H. Kuroda General Manager Technology Planning Department Rechargeable Battery Business Division SANYO Electric Co., Ltd.

We declare that this battery passed UN test.

Manua (38.3	Manual of Tests and Criteria (38.3 Lithium batteries)	Test	Note	Number of test	Number of test batteries/cells
No.	Test item	results			
<b>1</b>	Altitude simulation	Pass			
Т 2	Thermal test	Pass		First cycle	After 50 cycles
Т 3	T3 Vibration	Pass		fully charged	fully charged
Т 4	Shock	Pass		4 batteries	4 batteries
T 5	External short circuit	Pass			
Т 6	Crush	Pass		First cy 50% chan 5 cells	First cycle 50% charged 5 cells
Т 7	Overcharge	Pass		First cycle, Fully charged 4 batteries	First cycle, Fully charged 4 batteries After 50 cycles, Fully charged 4 batteries
1 8	Forced discharge	Pass		First cycle, fully discharged 10 cells	After 50 cycles, fully discharged 10 cells

\*The test data may contain additional test result other than above table.

# Lithium ion battery Specification

		- T - T - T - T - T - T - T - T - T - T
	1.64 g	Lithium equivalent content
	3. 75 V	Nominal voltage
	21 Wh	Watt-hour rating
Note	Nominal value	Item

Above test procedures are compliant to the following manual.

Tests and Criteria ST/AC 10/11, PartIII, sub-section 38.3, Rev. 5A1 for cell, Rev. 5A1 for battery) (Manual of

1.Test Item: Altitude simulation (T1)

2. Test Purpose: This test simulates air transport under low-pressure conditions.

# 3.Test Procedure:

Test cells and batteries shall be stored at a pressure of 11.6kPa or less for at least six hours at ambient temperature ( $20\pm5^{\circ}$ C).

**SANYO Internal Procedure:** 

As above.

# 4.Test Requirements:

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

5.Test Date: 2013/07/10

6.Test Data

D - 44 N		Mas	s(g)	Mass	Volta	ge(V)	Voltage	Other	Result	Judgamant
Battery N	0.	Before test	After test	loss (%) (=<0.1%)	Before test	After test	Retention( %)(=>90%)	event	Result	Judgement
4.6	1	98.69	98.66	0.03	4.20	4.18	99.5	0	PASS	
At first cycle,in fully	2	98.43	98.41	0.02	4.20	4.18	99.5	0	PASS	
charged states	3	98.07	98.05	0.02	4.19	4.18	99.8	0	PASS	
States	4	98.20	98.17	0.03	4.20	4.19	99.8	0	PASS	PASS
After 50	5	97.98	97.96	0.02	4.19	4.18	99.8	0	PASS	PASS
cycles ending in	6	98.32	98.30	0.02	4.19	4.17	99.5	0	PASS	
fully charged	7	98.55	98.53	0.02	4.20	4.18	99.5	0	PASS	
states	8	98.14	98.13	0.01	4.20	4.19	99.8	0	PASS	

Notes: L-Leakage, V-Venting, D-Disassembly, R-Rupture, F-Fire,

0-No leakage, no venting, no disassembly, no rupture & no fire

P.3/10

1.Test Item: Thermal Test (T2) P.4/10

2.Test Purpose: This test assesses cell and battery seal integrity and internal electrical connections. The test is conducted using rapid and extreme temperature changes.

### 3.Test Procedure:

Test cells and batteries are to be stored for at least six hours at a test temperature equal to  $75\pm2^{\circ}$ C, followed by storage for at least six hours at a test temperature equal to  $-40\pm2^{\circ}$ C. The maximum time internal between test temperature extremes is 30 minutes. This procedure is to be repeated 10 times, after which all test cells and batteries are to be stored for 24 hours at ambient temperature ( $20\pm5^{\circ}$ C). For large cells and batteries the duration of exposure to the test temperature extremes should be at least 12 hours.

**SANYO Internal Procedure:** 

As above.

### 4.Test Requirements:

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

5.Test Date: 2013/07/11-2013/07/17

### 6.Test Data

Dottom, N	_	Mas	s(g)	Mass	Volta	ge(V)	Voltage Retention(	Other	Result	Indecement
Battery N	0.	Before test	After test	loss (%) (=<0.1%)	Before test	After test	%)(=>90%)	event	Result	Judgement
4.0	1	98.66	98.62	0.04	4.18	4.16	99.5	0	PASS	
At first cycle,in fully	2	98.41	98.37	0.04	4.18	4.16	99.5	0	PASS	
charged states	3	98.05	98.00	0.05	4.18	4.16	99.5	0	PASS	
States	4	98.17	98.13	0.04	4.19	4.17	99.5	0	PASS	PASS
After 50	5	97.96	97.93	0.03	4.18	4.16	99.5	0	PASS	PASS
cycles ending in	6	98.30	98.26	0.04	4.17	4.15	99.5	0	PASS	
fully charged	7	98.53	98.49	0.04	4.18	4.16	99.5	0	PASS	
states	8	98.13	98.09	0.04	4.19	4.17	99.5	0	PASS	

Notes: L-Leakage, V-Venting, D-Disassembly, R-Rupture, F-Fire,

 $0\mbox{-}\mbox{No}$  leakage, no venting, no disassembly, no rupture & no fire

1.Test Item: Vibration (T3) P.5/10

2.Test Purpose: This test simulates vibration during transport.

### 3.Test Procedure:

Cells and batteries are firmly secured to the platform of the vibration machine without distorting the cells in such a manner as to faithfully transmit the vibration. The vibration shall be a sinusoidal waveform with a logarithmic sweep between 7 Hz and 200 Hz and back to 7 Hz traversed in 15 minutes. This cycle shall be repeated 12 times for a total of 3 hours for each of three mutually perpendicular mounting positions of the cell. One of the directions of vibration must be perpendicular to the terminal face.

The logarithmic frequency sweep is as follows: from 7 Hz a peak acceleration of 1gn is maintained until 18 Hz is reached.

The amplitude is then maintained at 0.8 mm(1.6 mm total excursion) and thefrequency increased until a peak acceleration of 8gn occurs (approximately 50Hz). A peak acceleration of 8 gn is then maintained until the frequency is increased to 200Hz.

**SANYO Internal Procedure:** 

As above.

### **4.Test Requirements:**

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

5.Test Date: 2013/07/18-2013/07/19

### 6.Test Data

D - 44 N	_	Mas	s(g)	Mass	Volta	ge(V)	Voltage Retention(%)(	Other	D li	Indoomont
Battery N	0.	Before test	After test	loss (%) (=<0.1%)	Before test	After test	=>90%)	event	Result	Judgement
A . C .	1	98.62	98.61	0.01	4.16	4.15	99.8	0	PASS	
At first cycle,in fully	2	98.37	98.35	0.02	4.16	4.16	100.0	0	PASS	
charged states	3	98.00	97.98	0.02	4.16	4.16	100.0	0	PASS	
States	4	98.13	98.12	0.01	4.17	4.16	99.8	0	PASS	PASS
After 50	5	97.93	97.92	0.01	4.16	4.16	100.0	0	PASS	rass
cycles ending in	6	98.26	98.25	0.01	4.15	4.15	100.0	0	PASS	
fully charged	7	98.49	98.47	0.02	4.16	4.16	100.0	0	PASS	
states	8	98.09	98.06	0.03	4.17	4.15	99.5	0	PASS	

 $Notes: L-Leakage, \ V-Venting, \ D-Disassembly, \ R-Rupture, \ F-Fire,$ 

0-No leakage, no venting, no disassembly, no rupture & no fire

1.Test Item: Shock (T4)

2.Test Purpose: This test simulates possible impacts during transport.

### 3.Test Procedure:

Test cells and batteries shall be secured to the testing machine by means of a rigid mount which will support all mounting surfaces of each test battery. Each cell or battery shall be subjected to a half-sine shock of pack acceleration of  $150~g_n$  and pulse duration of 6 milliseconds. Each cell or battery shall be subjected to three shocks in the positive direction followed by three shocks in the negative direction of three mutually perpendicular mounting positions of the cell or battery for a total of 18~shocks.

However, large cells and large batteries shall be subjected to a half-sine shock of peak acceleration of  $50~g_{\rm n}$  and pulse duration of 11 milliseconds. Each cell or battery is subjected to three shocks in the positive direction followed by three shocks in the negative direction of each of three mutually perpendicular mounting positions of the cell for a total of 18 shocks.

# **SANYO Internal Procedure:**

As above.

# 4.Test Requirements:

No mass loss(less than 0.1%),no leakage,no venting,no disassembly,no rupture and no fire,and the voltage retention is not less than 90%.

5.Test Date: 2013/07/19

### 6.Test Data:

D - 44 N		Mas	s(g)	Mass	Volta	ge(V)	Voltage	Other	Dogult	Indeamont
Battery N	0.	Before test	After test	loss (%) (=<0.1%)	Before test	After test	Retention( %)(=>90%)	event	Result	Judgement
4.0	1	98.61	98.60	0.01	4.15	4.15	100.0	0	PASS	
At first cycle,in fully	2	98.35	98.35	0.00	4.16	4.16	100.0	0	PASS	
charged states	3	97.98	97.97	0.01	4.16	4.16	100.0	0	PASS	
States	4	98.12	98.11	0.01	4.16	4.16	100.0	0	PASS	PASS
After 50	5	97.92	97.91	0.01	4.16	4.16	100.0	0	PASS	rass
cycles ending in	6	98.25	98.25	0.00	4.15	4.15	100.0	0	PASS	
fully charged	7	98.47	98.45	0.02	4.16	4.16	100.0	0	PASS	
states	8	98.06	98.05	0.01	4.15	4.15	100.0	0	PASS	

Notes: L-Leakage, V-Venting, D-Disassembly, R-Rupture, F-Fire,

0-No leakage, no venting, no disassembly, no rupture & no fire

1.Test Item: External short circuit (T5)

2.Test Purpose: This test simulates an external short circuit.

3.Test Procedure:

The cell or battery to be tested shall be temperature stabilized so that its external case temperature reaches  $55\pm2^{\circ}\text{C}$  and then the cell or battery shall be subjected to a short circuit condition with a total external resistance of less than 0.10hm at  $55\pm2^{\circ}\text{C}$ . This short circuit condition is continued for at least one hour after the cell or battery external case temperature has returned to  $55\pm2^{\circ}\text{C}$ . The cell or battery must be observed for a further six hours for the test to be concluded.

### **SANYO Internal Procedure:**

As above.

# 4.Test Requirements:

External temperature of test batteries does not exceed 170°C and there is no disassembly,no rupture and no fire within six hours of this test.

5.Test Date: 2013/07/22

6.Test Data

Bat	ttery No.	Maximum temperature (°C)	Other event	Result	Judgement
A. C.	1	54.6	0	PASS	
At first cycle,in fully	2	54.5	0	PASS	
charged states	3	54.4	0	PASS	
States	4	54.7	0	PASS	PASS
After 50	5	54.7	0	PASS	rass
cycles ending in	6	54.5	0	PASS	
fully charged	7	54.3	0	PASS	
states	8	54.7	0	PASS	

Notes: D-Disassembly, R-Rupture, F-Fire, O-No disassembly, no rupture & no fire

P.7/10

### 1.Test Item:Crush (T6)

Applicable to prismatic, pouch, coin/button cells and cylindrical cells not more than 20 mm in diameter

2. Test Purpose: These tests simulate mechanical abuse from a crush that may result in an internal short circuit.

### 3.Test Procedure:

A cell or component cell is to be crushed between two flat surfaces. The crushing is to be gradual with a speed of approximately 1.5 cm/s at the first point of contact. The crushing is to be continued until the first of the three options below is reached.

- (a) The applied force reaches 13 kN  $\pm$  0.78 kN;
- (b) The voltage of the cell drops by at least 100 mV; or
- (c) The cell is deformed by 50% or more of its original thickness.

Once the maximum pressure has been obtained, the voltage drops by 100 mV or more, or the cell is deformed by at least 50% of its original thickness, the pressure shall be released.

A prismatic or pouch cell shall be crushed by applying the force to the widest side. A button/coin cell shall be crushed by applying the force on its flat surfaces. For cylindrical cells, the crush force shall be applied perpendicular to the longitudinal axis.

Each test cell or component cell is to be subjected to one crush only. The test sample shall be observed for a further 6 h. The test shall be conducted using test cells or component cells that have not previously been subjected to other tests.

### 4.Test Requirements:

External temperature of test cells and component cell does not exceed 170°C and there is no disassembly, no rupture and no fire during the test and within six hours after the test.

5.Test Date: 2013/07/23

### 6.Test Data:

Cell No.		Maximum Temperature(°C)	Other event	Result	Judgement
	1	21.2	0	PASS	
At first	2	22.5	0	PASS	
cycle, 50% charged	3	22.6	0	PASS	PASS
states	4	22.6	0	PASS	
	5	22.4	0	PASS	

Notes: D-Disassembly, F-Fire, 0-No disassembly & no fire

### (Model: 1UPF3657104-2-T1059) **UN Test Data**

1.Test Item:Overcharge (T7)

P.9/10

2. Test Purpose: This test evaluates the ability of a rechargeable battery to withstand an overcharge condition.

### 3.Test Procedure:

The charge current shall be twice the manufacturer's recommended maximum continuous charge current. The minimum voltage of the test shall be as follows:

- (a) when the manufacturer's recommended charge voltage is not more than 18V, the minimum voltage of the test shall be the lesser of two times the maximum charge voltage of the battery or 22V.
- (b) when the manufacturer's recommended charge voltage is more than 18V, the minimum voltage of the test shall be 1.2 times the maximum charge voltage.

Tests are to be conducted at ambient temperature. The duration of the test shall be 24 hours.

### **SANYO Internal Procedure:**

Min.Charge Voltage:	8.4 V
Charge Current:	5.46 A

# 4.Test Requirements:

There is no disassembly and no fire within seven days of the test.

5.Test Date:

2013/07/11-2013/07/22

### 6.Test Data

Battery	No.	Event	Result	Judgement	
At first avala	1	0	PASS		
At first cycle in fully	2	0	PASS		
charged states	3	0	PASS		
States	4	0	PASS	PASS	
After 50	5	0	PASS	1 A33	
cycles ending in fully	6	0	PASS		
charged	7	0	PASS		
states	8	0	PASS		

Notes: D-Disassembly, F-Fire, 0-No disassembly & no fire

1.Test Item:Forced discharge (T8)

# 2.Test Purpose:

This test evaluates the ability of a primary or a rechargeable cell to withstand a forced discharge condition.

### 3.Test Procedure:

Each cell shall be forced discharged at ambient temperature by connecting it in series with a 12V D.C. power supply at an initial current equal to the maximum discharge current specified by the manufacturer.

The specified discharge current is to be obtained by connecting a resistive load of the appropriate size and rating in series with the test cell. Each cell shall be forced discharged for a time interval (in hours) equal to its rated capacity divided by the initial test current (in ampere).

# 4.Test Requirements:

No disassembly and no fire during the test and within seven days after the test.

5.Test Date: 2013/09/13-2013/09/20

### 6.Test Data

Cell No.		Maximum Temperature(°C)	Other event	Result	Judgement
	1	39.6	0	PASS	
	2	38.0	0	PASS	
	3	46.7	0	PASS	
At first	4	44.2	0	PASS	
cycle, in fully	5	48.0	0	PASS	
discharged	6	38.1	0	PASS	
states	7	36.9	0	PASS	
	8	47.6	0	PASS	
	9	42.0	0	PASS	
	10	44.3	0	PASS	PASS
	11	35.0	0	PASS	PASS
	12	34.3	0	PASS	
	13	48.0	0	PASS	
After 50 cycles	14	39.0	0	PASS	
ending, in	15	46.6	0	PASS	
fully	16	40.1	0	PASS	
discharged states	17	39.9	0	PASS	
	18	42.0	0	PASS	
	19	41.5	0	PASS	
	20	52.0	0	PASS	

Notes: D-Disassembly, R-Rupture, F-Fire, 0-No disassembly, no rupture & no fire