



新普科技股份有限公司  
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Control NO: LE-CU-15-01-021

## UN38.3 Test Report

### Recommendations on the TRANSPORT OF DANGEROUS GOODS

(Manual of Tests and Criteria, Fifth revised edition, Amend 1)

**Customer: Lenovo**

**Model: L14M4P73**

**Rating: 7.6V, 40Wh, 5270mAh**

**Test duration: 2014/12/15~2015/1/17**

Approved By	Checked By	Prepared By
WineI zhao	WineI zhao	Happy-Gu.

SIMPLO TECHNOLOGY CO., LTD.

ADD: No.471,Sec.2,Pa Teh Rd.,Hu Kou,Hsin Chu,Hsien 303 Taiwan

TEL: +886-3-5695920

FAX: +886-3-5695931

SIMPLO ELECTRONICS (Changshu) LTD.

ADD: No.2 Dong Nan Road,Changshu, Jingsu Province.China

TEL: +86-512-52302255

FAX: +86-512-52302277

SIMPLO ELECTRONICS (CHONGQING) ,LTD.

ADD: No.2 Zongbao Avenue, Shapingba Distnct, Chongqing, China

TEL: +86-23-61718899

FAX: +86-23-61210488

HUAPU TECHNOLOGY (Changshu) CO. ,LTD.

ADD : No.2 Dong Nan Road,Changshu, Jiangu Province.China

TEL: +86-512-52302255

FAX: +86-512-52302277



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### 1. Purpose of the Test:

To test each cell/battery is of the type proved to meet the requirements in the Recommendations on the TRANSPORT OF DANGEROUS GOODS, Manual of Tests and Criteria, Fifth revised edition, Amend 1.

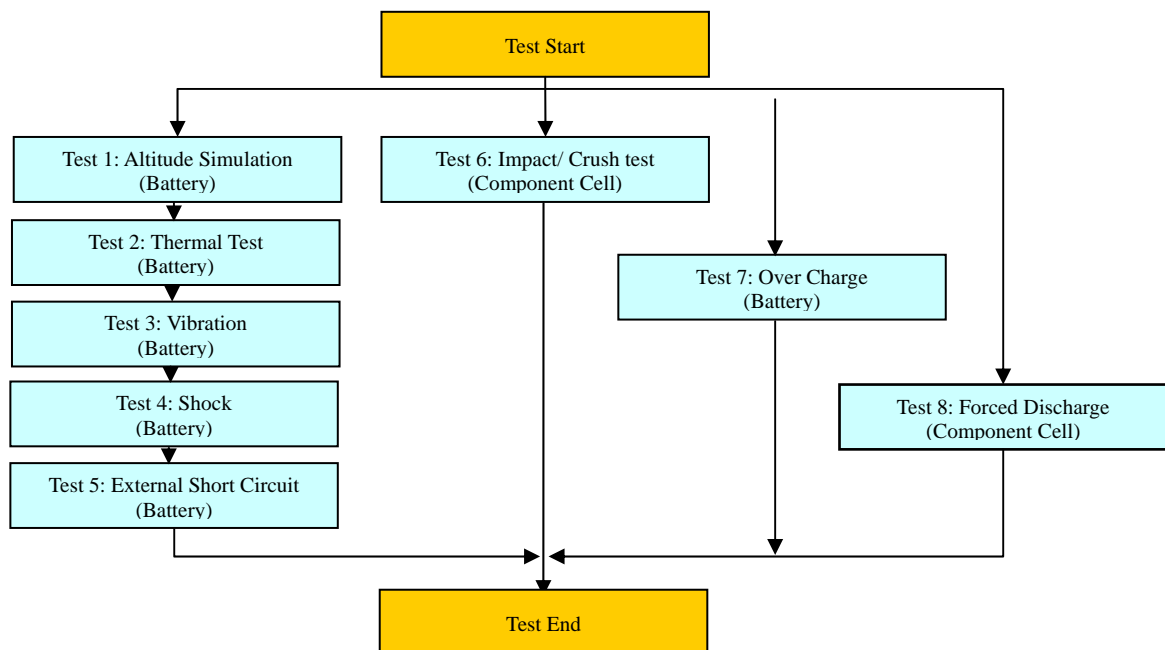
### 2. Test Quantity:

- 2.1 Four batteries, at first cycle, in fully charged states. (T.1~T.5 test only)
- 2.2 Four batteries, after fifty cycles ending in fully charged states. (T.1~T.5 test only)
- 2.3 Five component cells, at first cycle at 50% of the design rated capacity. (T.6 test only)
- 2.4 Four batteries, at first cycle, in fully charged states. (T.7 test only)
- 2.5 Four batteries, after fifty cycles ending in fully charged states. (T.7 test only)
- 2.6 Ten component cells, at first cycle in fully discharge states. (T.8 test only)
- 2.7 Ten component cells, after fifty cycles ending in fully discharged states. (T.8 test only)

### 3. Test procedure:

3.1 All detail related test procedure shall be follow Standard Operation Procedure of SMP subjected CW01-5916 Rev.4 issue documentation.

3.2 Test flow shall be follow below statement.





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Control NO: LE-CU-15-01-021

#### 4. Test Result:

##### 4.1 T.1 ~T.4 Test result: **Passed**

4.1.1 All batteries could meet the requirement, mass loss was less than 0.1% and residual OCV not less than 90% after the test.

4.1.2 No leakage, no venting, no disassembly, no rupture and no fire.

##### 4.2 T.5 Test result: **Passed**

4.2.1 All batteries could meet the requirement, external temperature did not exceed 170 .

4.2.2 All batteries were no disassembly, no rupture and no fire during the test and within six hours after the test.

##### 4.3 T.6 Test result: **Passed**

4.3.1 All component cells could meet the requirement, external temperature did not exceed 170 .

4.3.2 All component cells were no disassembly and no fire during the test and within six hours after the test.

##### 4.4 T.7 Test result: **Passed**

4.4.1 All batteries could meet no disassembly and no fire during the test and within seven days after the test.

##### 4.5 T.8 Test result: **Passed**

4.5.1 All component cells could meet the requirement, no disassembly and no fire during the test and within seven days after the test.

**Conclusion: The samples had passed the test items of UN38.3.**



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5. Test Equipment:

Used	Instrument ID(New)	Instrument ID(Old)	Instrument Name	Type	Range Used	Manufacturer	Calibration Date_Last	Calibration Date_Next	Remarks
<b>Pretest</b>									
✓	EE01-CA-100002	C602M00/S0036	7.15 learning機	新普科技	8V/8A	新普科技	2014/12/09	2015/12/09	
✓	EE03-CA-100018	C602M00/S0107	720 learning機	新普科技	Charge:18V/17A Discharge:16V/18A	新普科技	2014/8/10	2015/8/9	
	EE01-CA-100003	C602M00/S0039	7.15 learning機	新普科技	8V/8A	新普科技	2014/03/10	2015/03/09	
	EE01-CA-100005	C602M00/S0098	7.15 learning機	新普科技	8V/8A	新普科技	2014/04/09	2015/04/08	
	EE03-CA-100020	C602M00/S0163	720 learning機	新普科技	Charge:18V/17A Discharge:16V/18A	新普科技	2014/10/21	2015/10/20	
<b>Low Pressure Test</b>									
✓	EC15-CA-E00003	C602M00/I0462	Altitude	SVT-110	Kpa: 0 ~ 99kpa	HSIN JIANG	2014/09/08	2015/09/07	
✓	EA02-CA-100002	C602M00/I0293	mΩ Htester	3561	R:10~310mΩ V:20~20V	HICKI	2014/9/17	2015/9/16	
✓	EF03-CA-100001	C602M00/I03604	Electronic Balance	XG1220M/SCS	1220g±0.001g	CHENGZHUN	2014/10/21	2015/10/20	
✓	ED01-CA-100007	C602M00/I0412	Thermo Meter	TA218	T: -10℃~70℃ RH: 25%~98%	KTJ	2014/8/27	2015/8/26	
<b>Thermal Test</b>									
✓	EC29-CA-E00002	C602M00/I0671	Thermal Shock	TSK-A4C-150	T:-45℃ to 150℃	KISON	2014/06/09	2015/06/08	
✓	EA02-CA-100002	C602M00/I0293	mΩ Htester	3561	R:10~310mΩ V:20~20V	HICKI	2014/9/17	2015/9/16	
✓	EF03-CA-100001	C602M00/I03604	Electronic Balance	XG1220M/SCS	1220g±0.001g	CHENGZHUN	2014/10/21	2015/10/20	
✓	ED01-CA-100007	C602M00/I0412	Thermo Meter	TA218	T: -10℃~70℃ RH: 25%~98%	KTJ	2014/8/27	2015/8/26	
<b>Vibration Test</b>									
✓	EC08-CA-E00001	C602M00/I0197	Vibration	EM-200F2K-25N	F3~2000Hz G:0.2~55G	King Design	2014/8/12	2015/8/11	
	EC08-CA-E00002	C602M00/I0052	Vibration	EM-200F2K-25N	F3~2000Hz G:0.2~55G	King Design	2014/9/24	2015/9/23	
✓	EA02-CA-100002	C602M00/I0293	mΩ Htester	3561	R:10~310mΩ V:20~20V	HICKI	2014/9/17	2015/9/16	
✓	EF03-CA-100001	C602M00/I03604	Electronic Balance	XG1220M/SCS	1220g±0.001g	CHENGZHUN	2014/10/21	2015/10/20	
<b>Shock Test</b>									
✓	EC17-CA-E00001	C602M00/I0204	Shock	HS 1548	G:10~2000G	Lansmont	2014/09/08	2015/09/07	
✓	EA02-CA-100002	C602M00/I0293	mΩ Htester	3561	R:10~310mΩ V:20~20V	HICKI	2014/9/17	2015/9/16	
✓	EF03-CA-100001	C602M00/I03604	Electronic Balance	XG1220M/SCS	1220g±0.001g	CHENGZHUN	2014/10/21	2015/10/20	
<b>External Short Circuit Test</b>									
✓	EA02-CA-100002	C602M00/I0293	mΩ Htester	3561	R:10~310mΩ V:20~20V	HICKI	2014/9/17	2015/9/16	
✓	EA09-CA-100004	C602M00/I0207	Data logger	34970A	V: 0~300V, T: -150℃~1200℃	Agilent	2014/09/17	2015/09/16	
✓	EC26-CA-100023	C602M00/I0518	chamber	WIT TH-2PE	-40℃ to 150℃	WIT	2014/08/11	2015/08/10	
✓	ED01-CA-100007	C602M00/I0412	Thermo Meter	TA218	T: -10℃~70℃ RH: 25%~98%	KTJ	2014/8/27	2015/8/26	
<b>Impact Test/Crush Test</b>									
	EC17-CA-100001	C602M00/I0204	Impact test	100-372	H:60~80cm	JYI SHENG	2014/9/17	2015/9/16	
✓	EC23-CA-E00001	C602M00/I0743	Crush Test	BE-6047	1.0KN~15.0KN	BELL	2014/09/08	2015/09/07	
✓	EA09-CA-100005	C602M00/I0588	Data logger	34970A	V: 0~300V, T: -150℃~1200℃	Agilent	2014/09/17	2015/09/16	
✓	ED01-CA-100010	C602M00/I0681	Thermo Meter	TA218	T: -10℃~70℃ RH: 25%~98%	KTJ	2014/6/22	2015/6/21	
<b>Overcharge Test</b>									
✓	EA06-CA-E00003	C602M00/I0073	Power Supply	DS9024	0~60V 0~24A	MOTECH	2014/03/12	2015/03/11	
✓	EA06-CA-E00002	C602M00/I0077	Power Supply	DS9024	0~60V 0~24A	MOTECH	2014/03/12	2015/03/11	
✓	EA06-CA-E00001	C602M00/I0075	Power Supply	D69024	0~60V 0~24A	MOTECH	2014/03/12	2015/03/11	
✓	EA06-CA-E00004	C602M00/I00781	Power Supply	D69024	0~60V 0~24A	MOTECH	2014/03/12	2015/03/11	
✓	ED01-CA-100007	C602M00/I0412	Thermo Meter	TA218	T: -10℃~70℃ RH: 25%~98%	KTJ	2014/8/27	2015/8/26	
<b>Freeed Discharge Test</b>									
✓	EA06-CA-100004	J	Power Supply	EJ633A	0~8V,20A/0~20V,10A	AGILENT	2014/9/17	2015/9/16	
✓	EA06-CA-100016	J	Power Supply	EJ633A	0~8V,20A/0~20V,10A	AGILENT	2014/6/10	2015/6/9	
✓	EA06-CA-100015	C602M00/I00481	Power Supply	EJ633A	0~8V,20A/0~20V,10A	AGILENT	2014/6/10	2015/6/9	
✓	EA05-CA-100006	J	Electronic LOAD	3311D	60V/60A, 300W	PRODIGIT	2014/05/12	2015/05/11	
✓	EA05-CA-100009	J	Electronic LOAD	3311F	60V/60A, 300W	PRODIGIT	2014/05/12	2015/05/11	
✓	EA05-CA-100008	C602M00/I0402	Electronic LOAD	3311F	60V/60A, 300W	PRODIGIT	2014/06/13	2015/06/12	

Note 1: DC Voltage: 0.1~1000V, AC Voltage: 0.5~700V at 60Hz, 1KHz; Resistance: 10Ω~10MΩ; DC current: 0.1mA~3A, AC current: 0.01mA~3A at 60Hz, 0.01mA~1A, at 1KHz

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Control NO: LE-CU-15-01-021

6. T.1~T8 detail reports:

UN 38.3 Test Datasheet

Control No.:LE-CU-15-01-021

Customer:Lenovo

Model Name: L14M4P73

Test Duration:2014/12/15~2015/1/17

Reviewer: Wind\_Zhao

Test Sample Identification:

Battery						Component Cell		
Used	Sample No.	Sample State	Used	Sample No.	Sample State	Used	Sample No.	Sample State
V	1~4	1 Cycle, Fully charged	V	5~8	50 Cycle, Fully charged	V	1C~5C	1 Cycle, 50% charged
V	9~12	1 Cycle, Fully charged	V	13~16	50 Cycle, Fully charged	V	6C~15C	1 Cycle, 0% charged
		25Cycle, Fully charged			25 Cycle, Fully charged	V	16C~25C	50 Cycle, 0% charged

T.1 Altitude Simulation											
Start time:2014/12/29 09:20					Ambient temp.: 22.7 ℃		Operator: Happy_Gu				
Finsh time:2014/12/29 17:30											
Sample No.: 01					Sample No.: 02						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	183.1	183.1	Mass loss %	0.01%	P	Mass (g)	184.0	184.0	Mass loss %	0.01%	P
OCV (V)	8.57	8.55	Residual OCV %	99.72%		OCV (V)	8.61	8.59	Residual OCV %	99.70%	
Sample No.: 03					Sample No.: 04						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	183.4	183.4	Mass loss %	0.01%	P	Mass (g)	183.6	183.6	Mass loss %	0.01%	P
OCV (V)	8.54	8.51	Residual OCV %	99.73%		OCV (V)	8.60	8.57	Residual OCV %	99.69%	
Sample No.: 05					Sample No.: 06						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	184.1	184.0	Mass loss %	0.01%	P	Mass (g)	183.5	183.5	Mass loss %	0.01%	P
OCV (V)	8.61	8.59	Residual OCV %	99.74%		OCV (V)	8.54	8.52	Residual OCV %	99.70%	
Sample No.: 07					Sample No.: 08						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	183.7	183.7	Mass loss %	0.01%	P	Mass (g)	184.0	184.0	Mass loss %	0.01%	P
OCV (V)	8.59	8.56	Residual OCV %	99.69%		OCV (V)	8.57	8.55	Residual OCV %	99.69%	

T.2 Thermal Test											
Start time:2014/12/29 17:40					Ambient temp.: 19.5 ℃		Operator: Happy_Gu				
Finsh time:2015.01.05 08:20											
Sample No.: 01					Sample No.: 02						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	183.1	183.1	Mass loss %	0.01%	P	Mass (g)	184.0	184.0	Mass loss %	0.01%	P
OCV (V)	8.55	8.42	Residual OCV %	98.57%		OCV (V)	8.59	8.47	Residual OCV %	98.61%	
Sample No.: 03					Sample No.: 04						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	183.4	183.4	Mass loss %	0.00%	P	Mass (g)	183.6	183.6	Mass loss %	0.01%	P
OCV (V)	8.51	8.40	Residual OCV %	98.63%		OCV (V)	8.57	8.45	Residual OCV %	98.55%	
Sample No.: 05					Sample No.: 06						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	184.0	184.0	Mass loss %	0.01%	P	Mass (g)	183.5	183.4	Mass loss %	0.01%	P
OCV (V)	8.59	8.46	Residual OCV %	98.59%		OCV (V)	8.52	8.40	Residual OCV %	98.61%	
Sample No.: 07					Sample No.: 08						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	183.7	183.7	Mass loss %	0.01%	P	Mass (g)	184.0	184.0	Mass loss %	0.01%	P
OCV (V)	8.56	8.44	Residual OCV %	98.56%		OCV (V)	8.55	8.42	Residual OCV %	98.55%	

T.3 Vibration											
Start time:2015.01.05 08:40					Ambient temp.: 20.7 ℃		Operator: Happy_Gu				
Finsh time:2015.01.06 08:20											
Sample No.: 01					Sample No.: 02						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	183.1	183.1	Mass loss %	0.01%	P	Mass (g)	184.0	184.0	Mass loss %	0.01%	P
OCV (V)	8.42	8.40	Residual OCV %	99.74%		OCV (V)	8.47	8.45	Residual OCV %	99.78%	
Sample No.: 03					Sample No.: 04						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	183.4	183.4	Mass loss %	0.00%	P	Mass (g)	183.6	183.6	Mass loss %	0.01%	P
OCV (V)	8.40	8.38	Residual OCV %	99.80%		OCV (V)	8.45	8.42	Residual OCV %	99.72%	
Sample No.: 05					Sample No.: 06						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	184.0	184.0	Mass loss %	0.01%	P	Mass (g)	183.4	183.4	Mass loss %	0.01%	P
OCV (V)	8.46	8.44	Residual OCV %	99.75%		OCV (V)	8.40	8.38	Residual OCV %	99.79%	
Sample No.: 07					Sample No.: 08						
	Before	After	Variation		Results		Before	After	Variation		Results
Mass (g)	183.7	183.7	Mass loss %	0.01%	P	Mass (g)	184.0	184.0	Mass loss %	0.01%	P
OCV (V)	8.44	8.42	Residual OCV %	99.73%		OCV (V)	8.42	8.40	Residual OCV %	99.72%	

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T.4 Shock					Start time:2015/01/06 08:40					Ambient temp.: 21.4 ℃					Operator: Happy_Gu				
Sample No.: 01										Sample No.: 02									
	Before	After	Variation		Results		Before	After	Variation		Results								
Mass (g)	183.1	183.1	Mass loss %	0.01%	P	Mass (g)	184.0	184.0	Mass loss %	0.01%	P								
OCV (V)	8.40	8.38	Residual OCV %	99.74%		OCV (V)	8.45	8.43	Residual OCV %	99.78%									
Sample No.: 03					Sample No.: 04														
Mass (g)	183.4	183.3	Mass loss %	0.00%	P	Mass (g)	183.6	183.5	Mass loss %	0.01%	P								
OCV (V)	8.38	8.36	Residual OCV %	99.80%		OCV (V)	8.42	8.40	Residual OCV %	99.72%									
Sample No.: 05					Sample No.: 06														
Mass (g)	184.0	184.0	Mass loss %	0.01%	P	Mass (g)	183.4	183.4	Mass loss %	0.01%	P								
OCV (V)	8.44	8.42	Residual OCV %	99.75%		OCV (V)	8.38	8.36	Residual OCV %	99.79%									
Sample No.: 07					Sample No.: 08														
Mass (g)	183.7	183.6	Mass loss %	0.01%	P	Mass (g)	184.0	184.0	Mass loss %	0.01%	P								
OCV (V)	8.42	8.40	Residual OCV %	99.73%		OCV (V)	8.40	8.38	Residual OCV %	99.71%									

T.5 External Short Circuit										Start time:2015/01/06 13:50										Ambient temp.: 20.6 ℃										Operator: Happy_Gu																																																	
Sample No.: 01										Sample No.: 02										Sample No.: 03										Sample No.: 04										Sample No.: 05										Sample No.: 06										Sample No.: 07										Sample No.: 08									
Resistance (<100mΩ)	59.7		56.4		55.8		57.2		56.8		56.2		56.9		58.4																																																																
OCV before test/after short circuit(V)	8.38	8.38	8.43	8.43	8.36	8.36	8.40	8.40	8.42	8.42	8.36	8.36	8.40	8.40	8.38	8.38																																																															
Max Temp. (< 170℃)	54.8		55.1		55.2		54.8		54.9		55.5		55.6		55.2																																																																
Results	P		P		P		P		P		P		P		P																																																																

T.6 Impact / Crush (Component Cell)										Start time:2014/12/30 08:30										Ambient temp.: 19.4 ℃										Operator: Happy_Gu																			
Sample No.: 01C										Sample No.: 02C										Sample No.: 03C										Sample No.: 04C										Sample No.: 05C									
OCV before test(V)	3.75		3.75		3.76		3.75		3.76																																								
Max Temp. (< 170℃)	23.7		25.6		26.4		25.1		23.9																																								
Results	P		P		P		P		P																																								

T.7 Overcharge										Start time:2014/12/30 10:20										Ambient temp.: 18.9 ℃										Operator: Happy_Gu																																																	
Sample No.: 09										Sample No.: 10										Sample No.: 11										Sample No.: 12										Sample No.: 13										Sample No.: 14										Sample No.: 15										Sample No.: 16									
OCV before test(V)	8.59		8.57		5.61		8.62		8.58		8.58		8.57		8.60																																																																
Results	P		P		P		P		P		P		P		P																																																																

T.8 Forced Discharge (Component Cell)										Start time:2015/01/02 08:30										Ambient temp.: 20.4 ℃										Operator: Happy_Gu																			
Sample No.: 06C										Sample No.: 07C										Sample No.: 08C										Sample No.: 09C										Sample No.: 10C									
OCV before test(V)	3.19		3.18		3.17		3.18		3.20																																								
Results	P		P		P		P		P																																								
Sample No.: 11C										Sample No.: 12C										Sample No.: 13C										Sample No.: 14C										Sample No.: 15C									
OCV before test(V)	3.18		3.19		3.18		3.18		3.17																																								
Results	P		P		P		P		P																																								
Sample No.: 16C										Sample No.: 17C										Sample No.: 18C										Sample No.: 19C										Sample No.: 20C									
OCV before test(V)	3.18		3.19		3.19		3.20		3.19																																								
Results	P		P		P		P		P																																								
Sample No.: 21C										Sample No.: 22C										Sample No.: 23C										Sample No.: 24C										Sample No.: 25C									
OCV before test(V)	3.18		3.19		3.19		3.18		3.17																																								
Results	P		P		P		P		P																																								

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Control NO: LE-CU-15-01-021

7. Test sample:



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