



CONFIDENTIAL

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CERTIFICATE OF COMPLIANCE

The following product has been evaluated according to the 5th revised edition Amendment2 of the UN Manual of Tests and Criteria.

We, LG Chem, Ltd., hereby certify that this battery meets the requirements of the regulation for transportation of lithium-ion cells and batteries and single cell batteries.

| | |
|--|--------------------|
| <input type="checkbox"/> Lithium-ion cell <input checked="" type="checkbox"/> Lithium-ion battery <input type="checkbox"/> Lithium-ion single cell battery | |
| Model name | L17L3P71 |
| Cell Model name | ICP478873L1 |
| Nominal voltage | 11.58 V |
| Electric power capacity | 57 Wh |

Conducted By: Min Je Woo

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| | | |
|-----------------|-----------------------|--------------------|
| Document Number | QDI-170706-B-L17L3P71 | |
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| Reviewed | MinJe Woo | <i>[Signature]</i> |
| Approved | DaeHo Nam | <i>[Signature]</i> |

UN38.3 Test Report

- L17L3P71 (Nom.57Wh, 11.58V) -

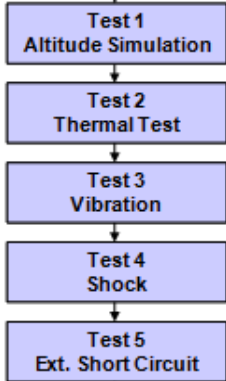
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2017. 07. 06



1. UN38.3 Test Condition

| Test item | Test Condition | Requirements | Etc. |
|--------------------------------|--|---|---|
| Test 1. Altitude Simulation | Storing at (low pressure)11.6kPa for 6hr at 20+/-5℃ | <ul style="list-style-type: none"> - After OCV (%) ≥ 90% - No leakage, no venting, no disassembly, no rupture, no fire - Mass loss limit (leakage) <ol style="list-style-type: none"> 1) If $M < 1g$, less than 0.5%, 2) If $1g \leq M \leq 75g$, less than 0.2%, 3) If $M > 75g$, less than 0.1% | T1~T5 : Sequence Tests  <pre> graph TD T1[Test 1 Altitude Simulation] --> T2[Test 2 Thermal Test] T2 --> T3[Test 3 Vibration] T3 --> T4[Test 4 Shock] T4 --> T5[Test 5 Ext. Short Circuit] </pre> |
| Test 2. Thermal Test | [72±2℃,6hr ↔ -40±2℃,6hr, interval max. 30min] x 10cycle Storing at 20±5℃ for 24h | | |
| Test 3. Vibration | [7Hz↔200Hz↔7Hz, in 15min] x 12 times x 3 direction 1) sinusoidal waveform with a logarithmic sweep 2) 7Hz 18Hz (maintaining 1g) app. 50Hz (until 8gn) 200Hz (maintaining 8gn), 1.6mm total excursion | | |
| Test 4. Shock | Half sine shock (peak acceleration : 150gn, pulse duration : 6msec) x 6 (±x, y, z), direction x 3 cycle | | |
| Test 5. External Short Circuit | 100mΩ ext. short-circuit at 55±2℃ 1hr continue after returning at 55±2℃ | <ul style="list-style-type: none"> - No disassembly, no rupture, no fire within 6 hours after the test - Max. Temp ≤ 170℃ | |
| Test 6. Impact | Φ=15.8±0.1mm bar, 9.1±0.1kg mass, 61±2.5cm height | <ul style="list-style-type: none"> - No disassembly, no fire within 6 hours after the test - Max. Temp ≤ 170℃ | for cylindrical cells (not less than 18mm diameter) |
| Test 6. Crush | Crushing rate :1.5cm/s, until 13kN±0.78kN or 100mV drop or 50% deformation | | for cylindrical cells (less than 18mm diameter) for prismatic, pouch, coin/button cells |
| Test 7. Overcharge | Current = Manufacturer's recommended max. continuous charge current X 2 Voltage 1.If charge voltage ≤ 18V, V (min.) = 2 x (max. charge voltage) or 22V. 2.If charge voltage > 18V, V (min.) = 1.2 x (max. charge voltage) | <ul style="list-style-type: none"> - No disassembly, no fire within 7 days after the test | Only for Single Cell Battery / Battery |
| Test 8. Forced Discharge | Discharge at max. discharge current (connecting in series with 12V DC power supply), Duration time = rated capacity/initial test current | <ul style="list-style-type: none"> - No disassembly, no fire within 7 days after the test | Resistance of Electric Loader 1/Ω = (max. discharge current) / (12 + Initial OCV) |

2. General Information

1. Standard charge / discharge Condition

| | Mode | Condition | End Condition |
|-----------|---------|---------------------------------------|------------------|
| Charge | CC / CV | Current = 5258 mA Voltage = 13.2 V | Current = 240 mA |
| Discharge | CC | Current = 956 mA | Voltage = 9.0 V |

2. Cycle Condition

| | Mode | Condition | End Condition |
|-----------|---------|---------------------------------------|------------------|
| Charge | CC / CV | Current = 5258 mA Voltage = 13.2 V | Current = 240 mA |
| Discharge | CC | Current = 956 mA | Voltage = 9.0 V |

3. Test Condition

| | Mode | Condition |
|--------------------------|---------|--|
| Test 7. Overcharge | CC / CV | Max. Charge Current = 5784 mA CC/CV 2Imax (11568mA) 22 V cut-off 24Hr |
| Test 8. Forced Discharge | CC | Max. Discharge Current = 4920 mA Duration Time = 60 min |

3-1. T1-T4 Test Result

| Before | | | Altitude (T1) | | | | | Thermal (T2) | | | | | Vibration (T3) | | | | | Shock (T4) | | | | |
|--------|-----|----------|---------------|----------|--------------|--------------|--------|---------------|----------|--------------|--------------|--------|----------------|----------|--------------|--------------|--------|---------------|----------|--------------|--------------|--------|
| NO. | OCV | Mass (g) | After OCV (V) | Mass (g) | After OCV(%) | Mass Loss(%) | Result | After OCV (V) | Mass (g) | After OCV(%) | Mass Loss(%) | Result | After OCV (V) | Mass (g) | After OCV(%) | Mass Loss(%) | Result | After OCV (V) | Mass (g) | After OCV(%) | Mass Loss(%) | Result |

A. 1st cycle fully charged state

| | | | | | | | | | | | | | | | | | | | | | | |
|---|--------|--------|--------|--------|-------|-------|------|--------|--------|-------|-------|------|--------|--------|-------|-------|------|--------|--------|-------|-------|------|
| 1 | 13.033 | 230.31 | 13.019 | 230.30 | 99.89 | 0.004 | Pass | 12.804 | 230.28 | 98.35 | 0.009 | Pass | 12.795 | 230.28 | 99.93 | 0.000 | Pass | 12.783 | 230.26 | 99.91 | 0.009 | Pass |
| 2 | 13.029 | 230.25 | 13.014 | 230.25 | 99.88 | 0.000 | Pass | 12.798 | 230.23 | 98.34 | 0.009 | Pass | 12.789 | 230.23 | 99.93 | 0.000 | Pass | 12.769 | 230.22 | 99.84 | 0.004 | Pass |
| 3 | 13.026 | 230.20 | 13.012 | 230.20 | 99.89 | 0.000 | Pass | 12.795 | 230.19 | 98.33 | 0.004 | Pass | 12.783 | 230.18 | 99.91 | 0.004 | Pass | 12.766 | 230.18 | 99.87 | 0.000 | Pass |
| 4 | 13.031 | 230.30 | 13.019 | 230.29 | 99.91 | 0.004 | Pass | 12.799 | 230.29 | 98.31 | 0.000 | Pass | 12.786 | 230.29 | 99.90 | 0.000 | Pass | 12.768 | 230.28 | 99.86 | 0.004 | Pass |

B. 50th cycle fully charged state

| | | | | | | | | | | | | | | | | | | | | | | |
|---|--------|--------|--------|--------|-------|-------|------|--------|--------|-------|-------|------|--------|--------|-------|-------|------|--------|--------|-------|-------|------|
| 5 | 13.021 | 230.30 | 13.009 | 230.30 | 99.91 | 0.000 | Pass | 12.813 | 230.30 | 98.49 | 0.000 | Pass | 12.805 | 230.28 | 99.94 | 0.009 | Pass | 12.796 | 230.28 | 99.93 | 0.000 | Pass |
| 6 | 13.028 | 230.28 | 13.011 | 230.27 | 99.87 | 0.004 | Pass | 12.805 | 230.27 | 98.42 | 0.000 | Pass | 12.797 | 230.26 | 99.94 | 0.004 | Pass | 12.789 | 230.26 | 99.94 | 0.000 | Pass |
| 7 | 13.031 | 230.26 | 13.019 | 230.25 | 99.91 | 0.004 | Pass | 12.809 | 230.25 | 98.39 | 0.000 | Pass | 12.800 | 230.25 | 99.93 | 0.000 | Pass | 12.793 | 230.24 | 99.95 | 0.004 | Pass |
| 8 | 13.030 | 230.20 | 13.017 | 230.19 | 99.90 | 0.004 | Pass | 12.810 | 230.18 | 98.41 | 0.004 | Pass | 12.802 | 230.18 | 99.94 | 0.000 | Pass | 12.796 | 230.18 | 99.95 | 0.000 | Pass |

3-2. T5/T7 Test Result

EXT.Short Circuit (T5)

| NO. | Initial OCV(V) | Max. Temp (°C) | Result |
|-----|----------------|----------------|--------|
|-----|----------------|----------------|--------|

A. 1st cycle fully charged state

| | | | |
|---|--------|-------|------|
| 1 | 12.783 | 55.49 | Pass |
| 2 | 12.769 | 56.66 | Pass |
| 3 | 12.766 | 55.32 | Pass |
| 4 | 12.768 | 55.56 | Pass |

B. 50th cycle fully charged state

| | | | |
|---|--------|-------|------|
| 5 | 12.796 | 56.86 | Pass |
| 6 | 12.789 | 55.19 | Pass |
| 7 | 12.793 | 55.49 | Pass |
| 8 | 12.796 | 56.37 | Pass |

Over Charge (T7)

| NO. | Initial OCV(V) | Max. Temp (°C) | Result |
|-----|----------------|----------------|--------|
|-----|----------------|----------------|--------|

A. 1st cycle fully charged state

| | | | |
|----|--------|-------|------|
| 9 | 12.993 | 24.89 | Pass |
| 10 | 12.996 | 25.76 | Pass |
| 11 | 12.999 | 25.35 | Pass |
| 12 | 13.003 | 24.76 | Pass |

Over Charge (T7)

| NO. | Initial OCV(V) | Max. Temp (°C) | Result |
|-----|----------------|----------------|--------|
|-----|----------------|----------------|--------|

B. 50th cycle fully charged state

| | | | |
|----|--------|-------|------|
| 13 | 13.005 | 25.23 | Pass |
| 14 | 12.998 | 25.61 | Pass |
| 15 | 13.001 | 24.86 | Pass |
| 16 | 12.997 | 25.36 | Pass |

3-3. T6/T8 Test Result (ICP478873L1)

| Crush (T6) | | | |
|------------|----------------|----------------|--------|
| NO. | Initial OCV(V) | Max. Temp (°C) | Result |

A. 1st cycle 50% charged state

| | | | |
|-----|-------|-------|------|
| C-1 | 3.864 | 22.06 | Pass |
| C-2 | 3.861 | 22.98 | Pass |
| C-3 | 3.860 | 22.79 | Pass |
| C-4 | 3.864 | 22.06 | Pass |
| C-5 | 3.863 | 22.34 | Pass |

| Forced Discharge (T8) | | | | | | | |
|-----------------------|----------------|----------------|--------|-----|----------------|----------------|--------|
| NO. | Initial OCV(V) | Max. Temp (°C) | Result | NO. | Initial OCV(V) | Max. Temp (°C) | Result |

A. 1st cycle fully discharged state

| | | | |
|------|-------|-------|------|
| C-6 | 3.017 | 41.15 | Pass |
| C-7 | 3.027 | 42.45 | Pass |
| C-8 | 3.045 | 44.60 | Pass |
| C-9 | 3.050 | 44.41 | Pass |
| C-10 | 3.013 | 43.02 | Pass |
| C-11 | 3.025 | 41.41 | Pass |
| C-12 | 3.023 | 43.56 | Pass |
| C-13 | 3.018 | 43.82 | Pass |
| C-14 | 3.010 | 40.69 | Pass |
| C-15 | 3.035 | 43.95 | Pass |

B. 50th cycle fully discharged state

| | | | |
|------|-------|-------|------|
| C-16 | 3.080 | 41.19 | Pass |
| C-17 | 3.077 | 40.98 | Pass |
| C-18 | 3.057 | 44.85 | Pass |
| C-19 | 3.062 | 43.64 | Pass |
| C-20 | 3.100 | 44.92 | Pass |
| C-21 | 3.099 | 44.23 | Pass |
| C-22 | 3.068 | 40.18 | Pass |
| C-23 | 3.097 | 40.41 | Pass |
| C-24 | 3.081 | 44.53 | Pass |
| C-25 | 3.067 | 40.82 | Pass |

4. Sample Image

