



新普科技股份有限公司
 新世電子(常熟)有限公司
 新普科技(重慶)有限公司
 華普電子(常熟)有限公司

Control Number: SLEU-1809003

Lithium-ion Battery UN38.3 Test Report

Recommendations on the TRANSPORT OF DANGEROUS GOODS

(Manual of Tests and Criteria, Sixth revised edition)

Customer: Lenovo
Model: S540-4B
Rating: 15.36V, 44Wh
Issue date: 2018/09/17

Approved By	Checked By	Prepared By

SIMPLO TECHNOLOGY CO., LTD.

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Form No. : W11-002-B04

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Page 1 of 7

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1. Purpose of the Test :

To test each cell/battery is of the type proved to meet the requirements in United Nations Recommendations on the TRANSPORT OF DANGEROUS GOODS, Manual of Tests and Criteria, Sixth revised edition, Section 38.3.

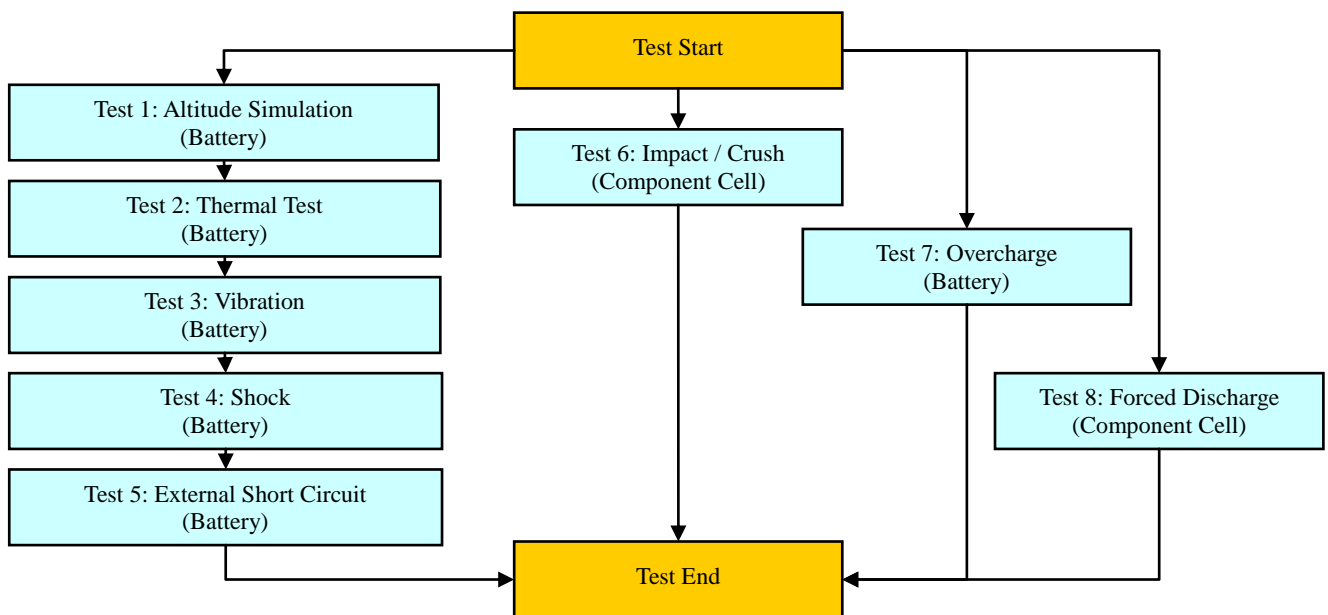
2. Test Quantity :

- 2.1 Four batteries, at first cycle, in fully charged states. (For T.1~T.5)
- 2.2 Four batteries, after 50 cycles ending in fully charged states. (For T.1~T.5)
- 2.3 Five component cells, at first cycle at 50% of the design rated capacity. (For T.6)
- 2.4 Four batteries, at first cycle, in fully charged states. (For T.7)
- 2.5 Four batteries, after 50 cycles ending in fully charged states. (For T.7)
- 2.6 Ten component cells, at first cycle in fully discharge states. (For T.8)
- 2.7 Ten component cells, after 50 cycles ending in fully discharged states. (For T.8)

3. Test Procedure :

3.1 All detailed test procedures must be based on United Nations Recommendations on the TRANSPORT OF DANGEROUS GOODS, Manual of Tests and Criteria, Sixth revised edition, Section 38.3.

3.2 Test flow shall be followed as below.





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4. Test Result :

4.1 T.1 ~T.4 Test result: **Passed**

- 4.1.1 All batteries could meet the requirement of Table 38.3.1 Mass loss limit ($M < 1g$: 0.5% ; $1g \leq M \leq 75g$: 0.2% ; $M > 75g$: 0.1%) and residual OCV not less than 90% after the test.
- 4.1.2 No leakage, no venting, no disassembly, no rupture and no fire.

4.2 T.5 Test result: **Passed**

- 4.2.1 All batteries could meet the requirement, external temperature did not exceed 170°C .
- 4.2.2 All batteries were no disassembly, no rupture and no fire during the test and within six hours after the test.

4.3 T.6 Test result: **Passed**

- 4.3.1 All component cells could meet the requirement, external temperature did not exceed 170°C .
- 4.3.2 All component cells were no disassembly and no fire during the test and within six hours after the test.

4.4 T.7 Test result: **Passed**

- 4.4.1 All batteries could meet no disassembly and no fire during the test and within seven days after the test.

4.5 T.8 Test result: **Passed**

- 4.5.1 All component cells could meet the requirement, no disassembly and no fire during the test and within seven days after the test.

Conclusion: The samples had passed the test items of UN38.3.



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5. Test Equipment :

SMP SIMPLO TECHNOLOGY CO., LTD.								
Address : No.471, Sec.2, Pa Teh Rd., Hu Kou, Hsin Chu Hsien 303, Taiwan								
TEL: +886-3-5695920; FAX: +886-3-5695931						Revised Date: 2018-09-17		
Test Instruments Reference List								
Used	Instrument ID	Instrument Name	Type	Range of use	Manufacturer	Calibration Date_Last	Calibration Date_Next	Remarks
Pretest								
V	ML-761	Learning	715C	0~18V 0~8A	SMP	2018/2/26	2019/2/26	
V	ML-762	Learning	715C	0~18V 0~8A	SMP	2018/1/3	2019/1/3	
V	ML-763	Learning	715C	0~18V 0~8A	SMP	2018/2/26	2019/2/26	
V	ML-764	Learning	715C	0~18V 0~8A	SMP	2018/1/3	2019/1/3	
	ML-925	Learning	750C8	0~60V 0~30A	SMP	2018/1/3	2019/1/3	
T.1 Altitude Simulation								
V	ML-522	Altitude	SVT-120	Kpa:30~90	HSIN JIANG	2018/7/18	2019/7/18	
V	ML-257	Multimeter	HP 34401A	Note 1	Agilent	2018/3/1	2019/3/1	
	ML-494	Electronic Balance	XS1220M-SCS	1-1200 gf	CHUANHUA	2018/7/18	2019/7/18	
V	ML-494	Electronic Balance	XS 1220M	1-1000 gf	Precisa	2018/7/18	2019/7/18	
	ML-523	Electronic Balance	MTW-30K	30*0.005Kg		2017/9/13	2018/9/13	
V	ML-550	Data Logger	313	15~35 °C ; 30~80 %RH	CENTER	2017/9/18	2018/9/18	
T.2 Thermal Test								
V	ML-789	Thermal Shock	GTST-080-65-AW	T:-40 to 120°C	GF	2018/1/3	2019/1/3	
V	ML-257	Multimeter	HP 34401A	note 1	Agilent	2018/3/1	2019/3/1	
	ML-494	Electronic Balance	XS1220M-SCS	1-1000 gf	CHUANHUA	2018/7/18	2019/7/18	
V	ML-494	Electronic Balance	XS 1220M	1-1000 gf	Precisa	2018/7/18	2019/7/18	
	ML-523	Electronic Balance	MTW-30K	30*0.005Kg		2017/9/13	2018/9/13	
V	ML-551	Data Logger	313	15~35 °C ; 30~80 %RH	CENTER	2017/9/18	2018/9/18	
T.3 Vibration								
V	ML-233	Vibration	KD-9636-EM-300F2K-30N80	F:5~2000Hz G:0.2~20G	King Design	2018/8/24	2019/8/24	
V	ML-257	Multimeter	HP 34401A	note 1	Agilent	2018/3/1	2019/3/1	
	ML-494	Electronic Balance	XS1220M-SCS	1-1000 gf	CHUANHUA	2018/7/18	2019/7/18	
V	ML-494	Electronic Balance	XS 1220M	1-1000 gf	Precisa	2018/7/18	2019/7/18	
	ML-523	Electronic Balance	MTW-30K	30*0.005Kg		2017/9/13	2018/9/13	
V	ML-552	Data Logger	313	15~35 °C ; 30~80 %RH	CENTER	2017/9/18	2018/9/18	
T.4 Shock								
V	ML-056	Shock	DP-1200-25	G:10~600G	King Design	2018/8/24	2019/8/24	
V	ML-257	Multimeter	HP 34401A	note 1	Agilent	2018/3/1	2019/3/1	
	ML-494	Electronic Balance	XS1220M-SCS	1-1000 gf	CHUANHUA	2018/7/18	2019/7/18	
V	ML-494	Electronic Balance	XS 1220M	1-1000 gf	Precisa	2018/7/18	2019/7/18	
	ML-523	Electronic Balance	MTW-30K	30*0.005Kg		2017/9/13	2018/9/13	
V	ML-551	Data Logger	313	15~35 °C ; 30~80 %RH	CENTER	2017/9/18	2018/9/18	
T.5 External Short Circuit								
V	ML-534	mΩ Hitester	3540	1mΩ ~ 30kΩ	HIOKI	2017/9/18	2018/9/18	
V	ML-459	Data Acquisition	MX100-E-1D	1-100 Vdc, -50 to 150°C	Yokogawa	2017/9/13	2018/9/13	
V	ML-460	Data Acquisition	MX100-E-1D	1-100 Vdc, -50 to 150°C	Yokogawa	2017/9/13	2018/9/13	
V	ML-521	Oven	9031	30~80 °C	YEOW LONG	2017/9/13	2018/9/13	
V	ML-549	Data Logger	313	15~35 °C ; 30~80 %RH	CENTER	2017/9/18	2018/9/18	
T.6 Impact / Crush								
V	ML-339	Data Acquisition	MX100-E-1D	1-100 Vdc, -50 to 150°C	Yokogawa	2018/5/17	2019/5/17	
	ML-076	Impact Tester			JYI SHENG	2018/1/3	2019/1/3	
	ML-553	Crush Tester	BCT-01		Simplo	2018/5/16	2019/5/16	
V	ML-866	Crush Tester	M0654		JYI SHENG	2018/4/9	2019/4/9	
	ML-459	Data Acquisition	MX100-E-1D	1-100 Vdc, -50 to 150°C	Yokogawa	2017/9/13	2018/9/13	

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Control Number: SLEU-1809003

SMP SIMPLO TECHNOLOGY CO., LTD.							
Address : No.471, Sec.2, Pa Teh Rd., Hu Kou, Hsin Chu Hsien 303, Taiwan							
TEL: +886-3-5695920; FAX: +886-3-5695931				Revised Date: 2018-09-17			
Test Instruments Reference List							
T.7 Overcharge							
	ML-482	Programmable DC Source	DS10014	1-100Vdc, 0.3-14.4A	MOTECH	2018/5/17	2019/5/17
	ML-483	Programmable DC Source	DS10014	1-100Vdc, 0.3-14.4A	MOTECH	2018/5/17	2019/5/17
	ML-484	Programmable DC Source	DS10014	1-100Vdc, 0.3-14.4A	MOTECH	2018/5/17	2019/5/17
	ML-486	Programmable DC Source	DS10014	1-100Vdc, 0.3-14.4A	MOTECH	2018/5/17	2019/5/17
	ML-487	Programmable DC Source	DS6024	1-60 Vdc, 0.3-24A	MOTECH	2018/5/17	2019/5/17
V	ML-549	Data Logger	313	15~35 °C ; 30~80 %RH	CENTER	2017/9/18	2018/9/18
	ML-459	Data Acquisition	MX100-E-1D	1-100 Vdc, -50 to 150°C	Yokogawa	2017/9/13	2018/9/13
	ML-460	Data Acquisition	MX100-E-1D	1-100 Vdc, -50 to 150°C	Yokogawa	2017/9/13	2018/9/13
V	ML-918	Overcharge & Forced discharge tester	T901	3~30 Vdc, Charge: 0.05~20A Discharge: 0.02~10A	SMP	2018/5/17	2019/5/17
T.8 Forced Discharge							
	ML-132	Electronic Load	3311C	60V,55A, 300W	Prodigit	2018/3/1	2019/3/1
	ML-133	Electronic Load	3311C	60V,55A, 300W	Prodigit	2018/3/1	2019/3/1
	ML-136	Electronic Load	3311C	60V,55A, 300W	Prodigit	2018/3/1	2019/3/1
	ML-192	Electronic Load	3311C	60V,55A, 300W	Prodigit	2018/3/1	2019/3/1
	ML-269	Electronic Load	3311C	60V,55A, 300W	Prodigit	2018/3/1	2019/3/1
	ML-532	DC Electronic Load	33511-01	120V, 240A, 3600W	Prodigit	2018/7/18	2019/7/18
	ML-482	Programmable DC Source	DS10014	1-100Vdc, 0.3-14.4A	MOTECH	2018/5/17	2019/5/17
	ML-483	Programmable DC Source	DS10014	1-100Vdc, 0.3-14.4A	MOTECH	2018/5/17	2019/5/17
	ML-484	Programmable DC Source	DS10014	1-100Vdc, 0.3-14.4A	MOTECH	2018/5/17	2019/5/17
	ML-486	Programmable DC Source	DS10014	1-100Vdc, 0.3-14.4A	MOTECH	2018/5/17	2019/5/17
	ML-487	Programmable DC Source	DS6024	1-60 Vdc, 0.3-24A	MOTECH	2018/5/17	2019/5/17
V	ML-549	Data Logger	313	15~35 °C ; 30~80 %RH	CENTER	2017/9/18	2018/9/18
	ML-459	Data Acquisition	MX100-E-1D	1-100 Vdc, -50 to 150°C	Yokogawa	2017/9/13	2018/9/13
	ML-460	Data Acquisition	MX100-E-1D	1-100 Vdc, -50 to 150°C	Yokogawa	2017/9/13	2018/9/13
V	ML-918	Overcharge & Forced discharge tester	T901	3~30 Vdc, Charge: 0.05~20A Discharge: 0.02~10A	SMP	2018/5/17	2019/5/17
Note 1: DC Voltage: 0.1-1000V; AC Voltage: 0.5-700V at 60Hz, 1kHz; Resistance: 10Ω-10MΩ; DC Current: 0.1mA-3A; AC Current: 0.01-3A at 60Hz, 0.01-1A, at 1kHz.							

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Control Number: SLEU-1809003

6. T.1~T.8 Detail Reports:

UN 38.3 Test Datasheet										
UN38.3/ST/SG/AC.10/11/Rev.6										
Control Number: SLEU-1809003		Customer: Lenovo			Model Name: L18M4PF3			SMP Project Name: S540_4B		
Pack P/N: 928QA255H		Configuration: 4S1P			Test Duration: 2018/08/10~2018/09/14			Reviewer: Esmond		
Test Sample Identification: <input type="checkbox"/> Large Battery <input checked="" type="checkbox"/> Small Battery <input type="checkbox"/> Single-cell Battery										
Battery Pack					Component Cell					
Used	Sample No.	Sample State	Used	Sample No.	Sample State	Used	Sample No.	Sample State		
V	01~04	1 Cycle, Fully charged	V	05~08	50 Cycles, Fully charged	V	01C~05C	1 Cycle, 50% SOC		
V	09~12	1 Cycle, Fully charged	V	13~16	50 Cycles, Fully charged	V	06C~15C	1 Cycle, Fully discharged (0% SOC)		
		25Cycles, Fully charged			25 Cycles, Fully charged	V	16C~25C	50 Cycles, Fully discharged (0% SOC)		
T.1 Altitude Simulation										
Start time: 2018/08/23 09:00					Ambient temp.: 23.7 °C			Operator: Martin		
Finish time: 2018/08/23 15:20		Sample 01	Sample 02	Sample 03	Sample 04	Sample 05	Sample 06	Sample 07	Sample 08	
OCV (V)	Before	17.513	17.514	17.514	17.515	17.514	17.513	17.516	17.515	
	After	17.507	17.508	17.509	17.509	17.508	17.507	17.510	17.510	
	Residual OCV %	99.97%	99.97%	99.97%	99.97%	99.97%	99.97%	99.97%	99.97%	
Mass (g)	Before	181.644	181.258	181.236	181.413	181.385	181.526	181.498	181.661	
	After	181.644	181.255	181.236	181.413	181.381	181.526	181.498	181.661	
	Mass loss %	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	
Results	P	P	P	P	P	P	P	P	P	
T.2 Thermal Test										
Start time: 2018/08/23 15:40					Ambient temp.: 24.8 °C			Operator: Martin		
Finish time: 2018/08/31 08:50		Sample 01	Sample 02	Sample 03	Sample 04	Sample 05	Sample 06	Sample 07	Sample 08	
OCV (V)	Before	17.507	17.508	17.509	17.509	17.508	17.507	17.510	17.510	
	After	17.392	17.386	17.383	17.384	17.378	17.378	17.391	17.386	
	Residual OCV %	99.34%	99.30%	99.28%	99.29%	99.26%	99.26%	99.32%	99.29%	
Mass (g)	Before	181.644	181.255	181.236	181.413	181.381	181.526	181.498	181.661	
	After	181.623	181.232	181.212	181.393	181.364	181.505	181.475	181.641	
	Mass loss %	0.01%	0.01%	0.01%	0.01%	0.01%	0.01%	0.01%	0.01%	
Results	P	P	P	P	P	P	P	P	P	
T.3 Vibration										
Start time: 2018/08/31 09:10					Ambient temp.: 23.5 °C			Operator: Martin		
Finish time: 2018/08/31 08:50		Sample 01	Sample 02	Sample 03	Sample 04	Sample 05	Sample 06	Sample 07	Sample 08	
OCV (V)	Before	17.392	17.386	17.383	17.384	17.378	17.378	17.391	17.386	
	After	17.376	17.371	17.368	17.369	17.362	17.363	17.376	17.371	
	Residual OCV %	99.91%	99.91%	99.91%	99.91%	99.91%	99.91%	99.91%	99.91%	
Mass (g)	Before	181.623	181.232	181.212	181.393	181.364	181.505	181.475	181.641	
	After	181.623	181.229	181.211	181.393	181.359	181.505	181.472	181.640	
	Mass loss %	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	
Results	P	P	P	P	P	P	P	P	P	
T.4 Shock										
Start time: 2018/09/03 09:20					Ambient temp.: 24.3 °C			Operator: Martin		
Finish time: 2018/09/03 11:20		Sample 01	Sample 02	Sample 03	Sample 04	Sample 05	Sample 06	Sample 07	Sample 08	
OCV (V)	Before	17.376	17.371	17.368	17.369	17.362	17.363	17.376	17.371	
	After	17.375	17.370	17.367	17.368	17.361	17.362	17.375	17.370	
	Residual OCV %	99.99%	99.99%	99.99%	99.99%	99.99%	99.99%	99.99%	99.99%	
Mass (g)	Before	181.623	181.229	181.211	181.393	181.359	181.505	181.472	181.640	
	After	181.621	181.229	181.211	181.390	181.359	181.505	181.471	181.638	
	Mass loss %	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	
Results	P	P	P	P	P	P	P	P	P	

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Control Number: SLEU-1809003

T.5 External Short Circuit										
Start time: 2018/09/03 11:40							Ambient temp.: 23.7 °C		Operator: Martin	
Finish time: 2018/09/04 09:00		Sample 01	Sample 02	Sample 03	Sample 04	Sample 05	Sample 06	Sample 07	Sample 08	
OCV (V)	Before	17.375	17.370	17.367	17.368	17.361	17.362	17.375	17.370	
	After	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	
Resistance (<100mΩ)		59.8	58.9	60.2	60.1	58.5	59.2	58.8	60.3	
Max Temp. (< 170°C)		57.7	57.6	57.7	57.8	57.8	57.9	57.6	57.6	
Results		P	P	P	P	P	P	P	P	

T.6 Impact / Crush (Component Cell)						
UN38.3/ST/SG/AC.10/11/Rev.6						
<input type="checkbox"/> Impact - Cylindrical cells not less than 18.0 mm in diameter						
<input checked="" type="checkbox"/> Crush - Prismatic, pouch, coin/button cells and cylindrical cells less than 18.0 mm in diameter						
Start time: 2018/08/24 09:20					Ambient temp.: 23.7 °C	Operator: Martin
Finish time: 2018/08/24 17:50		Sample 01C	Sample 02C	Sample 03C	Sample 04C	Sample 05C
Initial OCV (V)		3.811	3.810	3.809	3.808	3.808
Max Temp. (< 170°C)		23.7	23.8	23.8	23.9	23.8
Results		P	P	P	P	P

第 2 頁

T.7 Overcharge										
Start time: 2018/08/28 09:10							Ambient temp.: 23.8 °C		Operator: Martin	
Finish time: 2018/09/07 10:00		Sample 09	Sample 10	Sample 11	Sample 12	Sample 13	Sample 14	Sample 15	Sample 16	
Initial OCV (V)		17.511	17.515	17.517	17.513	17.515	17.509	17.518	17.517	
Results		P	P	P	P	P	P	P	P	

T.8 Forced Discharge (Component Cell)										
Start time: 2018/08/27 09:40							Ambient temp.: 23.8 °C		Operator: Martin	
Finish time: 2018/09/04 09:00		Sample 06C	Sample 07C	Sample 08C	Sample 09C	Sample 10C	Sample 11C	Sample 12C	Sample 13C	
Initial OCV (V)		3.441	3.442	3.443	3.448	3.439	3.442	3.450	3.447	
Results		P	P	P	P	P	P	P	P	
Sample No.		Sample 14C	Sample 15C	Sample 16C	Sample 17C	Sample 18C	Sample 19C	Sample 20C	Sample 21C	
Initial OCV (V)		3.450	3.451	3.439	3.450	3.437	3.448	3.449	3.445	
Results		P	P	P	P	P	P	P	P	
Sample No.		Sample 22C	Sample 23C	Sample 24C	Sample 25C					
Initial OCV (V)		3.442	3.444	3.451	3.449					
Results		P	P	P	P					

7. Test Sample:



Form No. : W11-002-B04

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