

November 2019



## Lenovo's Policy on Responsible Sourcing of Raw Materials

Lenovo is committed to protecting the communities in which we operate. Lenovo expects our supply chain to procure raw materials responsibly and to particularly avoid sources that directly or indirectly fund conflict. This includes conflict minerals from the Democratic Republic of the Congo and other high-risk areas worldwide. Lenovo has implemented the following programs and actions to mitigate risks in this area.

- Grow due diligence to ensure responsible sourcing in all geographies and high-risk areas, report those efforts publicly and require our suppliers to do the same.
- Utilize the OECD Due Diligence Guidance for Responsible Supply Chains from Conflict-Affected and High-Risk Areas and encourage its use by our suppliers and others with whom we interact.
- Approve efforts of all key stakeholders, governmental entities, industry groups and other concerned organizations (e.g. LBMA, RJC, ITRI, PPA, IPC, Enough, Resolve, Solutions for Hope, etc.) to promote peaceful and stable economies.
- Encourage a responsible and sustainable minerals trade without boycotting countries or regions.
- Continue investment, membership in and support of the Responsible Business Alliance (RBA) since 2006.
- Participate in the RBA Responsible Minerals Initiative (RMI) programs and workgroups.
- Use the RBA Conflict Minerals Reporting Template (CMRT) and the Responsible Minerals Assurance Process (RMAP) to only source from smelters validated by industry acceptable protocols. Also, use the Cobalt Reporting Template (CRT) and RMI programs as they develop.
- Conduct outreach directly to Smelters or Refiners of conflict minerals.

In addition, Lenovo contractually requires its suppliers to:

- Comply with all environmental laws and material content restrictions.
- Implement the RBA Code of Conduct (which include Conflict Minerals due diligence), conduct Audits with independent RBA approved 3<sup>rd</sup> party auditors and report Corrective Actions to ensure compliance.
- Require their upstream suppliers to comply with the RBA Code of Conduct.
- Have a policy to not directly or indirectly finance perpetrators of human rights abuses.
- Exercise due diligence on the source and custody of conflict minerals and to publicly report their efforts.

Lenovo meets all legal requirements related to responsible sourcing requirements worldwide. We are committed towards sourcing only conflict-free minerals and to using minerals only from smelters that have been audited and verified by RMAP or equivalent programs. Lenovo expects itself and its suppliers to be conflict-free and to develop further programs for responsible sourcing of all materials. For any unethical, unlawful or inappropriate behavior relating to conflict minerals in Lenovo's supply chain or any other sustainability concern, please contact [environment@lenovo.com](mailto:environment@lenovo.com).

Sincerely,

A handwritten signature in black ink that reads "David B. Martin".

Global Supply Chain Sustainability Manager

## 中文

2019 年 11 月

### 联想负责任原材料采购的政策

联想致力于保护我们运营的社区。联想希望我们的供应链负责地采购原材料，并特别避免直接或间接资助冲突的来源。这包括来自刚果民主共和国和世界其他高风险地区冲突矿产。联想在这一领域实施了以下方案和行动来降低风险。

- 加强尽职调查，以确保各区域和高风险地区的负责任采购，公开所做努力的报告并要求供应商也同样做。
- 利用经合组织的尽职调查指导从冲突和高风险地区的负责任采购，并鼓励我们的供应商也使用此项指导。
- 通过所有关键利益相关者、政府机构、行业组织和其他相关组织（例如伦敦金银市场协会，国际珠宝责任委员会，国际锡研究协会，公共采购部门，国际电子工业联接协会，Enough, Resolve, Solutions for Hope 等等）促进和平稳定的经济发展。
- 鼓励负责任的和可持续的矿产贸易，消除对国家和地区的抵制。
- 自 2006 年起，继续投资、加入和支持负责任商业联盟组织（RBA）。
- 继续积极参与负责任商业联盟的负责任矿产计划和工作组。
- 使用 RBA 冲突矿产报告模板和负责任矿产审计程序来保证我们使用矿产是行业可接受的来源。另外，使用 RMI 开发的钴矿报告模板。
- 继续与冶炼厂和精炼厂进行直接接触和沟通，鼓励其参加行业审计。

此外，根据合同，联想要求其供应商：

- 遵循所有环境法和材料/矿物方面的限制要求。
- 实施 RBA 行为准则，其中包括冲突矿产的尽职调查，与 RBA 批准的第三方审计机构独立开展审计，并汇报纠正措施以确保符合规范。
- 要求上游供应商遵守 RBA 行为准则。
- 确立政策以保证不直接或间接的支持金融犯罪以及人权侵害。
- 履行在冲突矿产的来源和监控方面的禁止调查，并公开报告。

联想符合所有与负责任的全球采购要求相关的法律要求。我们承诺只采购无冲突的矿物，只使用经过 RMAP 或经过类似项目审核和验证过的冶炼厂的矿物。联想希望自己和供应商都能做到不采购冲突矿物，并进一步开发负责任的矿物采购计划。若您遇到对于联想供应链中的冲突矿物或其他可持续性问题上任何不道德、非法或不适当的行为，[请联系 environment@lenovo.com](mailto:environment@lenovo.com)



全球供应链可持续发展经理